

Set	Items	Description
S1	1102282	TRADES OR TRADE OR TRADING OR TRANSACT? OR BUYING OR SELLING OR BUY? ? OR SELL? ? OR PURCHASING
S2	625647	PRICE OR PRICING OR PRICES OR QUOTE? ? OR BID? ?
S3	652070	COMPOSITE? ? OR BEST OR HIGHEST
S4	1144330	VOLUM? OR QUANTIT? OR AMOUNT OR BULK
S5	1434531	DISPLAY? OR VIEW? OR OUTPUT? ? OR GUI OR INTERFACE
S6	520996	ACCEPT? OR REJECT? OR DECLINE?
S7	4975	S2(5N)S3
S8	1835	S7 AND S1
S9	323	S8(20N)S4
S10	188	S9 NOT PY>1992
S11	47	S10 AND (S5 OR S6)
S12	34	RD (unique items)

all

File 2:INSPEC 1969-2005/Aug W4
(c) 2005 Institution of Electrical Engineers

File 35:Dissertation Abs Online 1861-2005/Aug
(c) 2005 ProQuest Info&Learning

File 65:Inside Conferences 1993-2005/Sep W1
(c) 2005 BLDSC all rts. reserv.

File 99:Wilson Appl. Sci & Tech Abs 1983-2005/Jul
(c) 2005 The HW Wilson Co.

File 474:New York Times Abs 1969-2005/Sep 08
(c) 2005 The New York Times

File 475:Wall Street Journal Abs 1973-2005/Sep 08
(c) 2005 The New York Times

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 The Gale Group

File 256:TecInfoSource 82-2005/Sep
(c) 2005 Info.Sources Inc

12/5/1 (Item 1 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2005 ProQuest Info&Learning. All rts. reserv.

0984893 ORDER NO: AAD88-06146
ESSAYS ON REAL ESTATE BROKER INCENTIVES AND REAL PROPERTY VALUES
Author: LARSEN, JAMES ERIC
Degree: PH.D
Year: 1987
Corporate Source/Institution: THE UNIVERSITY OF NEBRASKA - LINCOLN (0138
)
Source: VOLUME 49/02-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 0311. 110 PAGES
Descriptors: ECONOMICS, FINANCE
Descriptor Codes: 0508

A recent development in finance is the application of the economic theory of agency to various aspects of the firm. This has led to plausible explanation for phenomena not explained by traditional theory (e.g., an explanation of the existence of complex financial instruments). The dissertation applies agency theory to real estate markets and tests the implications of the theory as it applies to residential real property transfers.

The flat-fee and percentage commission listing contracts are examined and it is shown that neither leads the agent to an optimal **amount** of search from the seller's point of **view**. It is hypothesized that agents either search for the **highest bid** if no minimally **acceptable price** (MAP) is specified, or that they search for a buyer willing to pay a specified MAP. With a MAP there is uncertainty as to whether the property will be sold during the listing period. Without a MAP there is uncertainty as to the price at which the property will be sold. The relative desirability of the commission systems is shown to depend on whether a MAP is specified and on how quickly the owner wishes to sell. The flat-fee commission system is shown to have inferior incentive effects when the owner wishes to sell for the highest bid found in the search process. With a MAP the flat-fee and percentage commission systems have identical incentive effects. With or without a MAP, the identical level of search can be obtained using the percentage commission.

Empirical tests for the incentive effects of percentage commissions on both home selling price and time on market are conducted. No selling price effect is found. This is consistent with sellers having a MAP. No time on market effect is found. This is inconsistent with the theory and can be explained by market forces not captured in the model.

12/5/2 (Item 1 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

00912347 NYT Sequence Number: 030183790726
(Securities markets respond positively to announcement of NY Federal Reserve Bank pres Paul A Volcker's nomination as next Federal Reserve Bank chmn. Stock prices surge to best gains in nearly three weeks. Dow Jones industrial average jumps 9.73 points to 839.51 and advancing issues outnumber declines by more than 5-to-2 ration on NY Stock Exchange. Dollar strengthens overseas and gold bullion prices ease from record levels set earlier in week. Volcker nomination is applauded by both Wall St and business communities. Graph showing market profile, July 25 (M).)
VARTAN, VARTANIG G
New York Times, Col. 1, Pg. 1, Sec. 4

Thursday July 26 1979
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

SPECIAL FEATURES: Graph
COMPANY NAMES: FEDERAL RESERVE SYSTEM; STOCK EXCHANGE, NY (NYSE)
DESCRIPTORS: APPOINTMENTS AND EXECUTIVE CHANGES; BUSINESS COMMUNITY;
CURRENCY; DOW JONES STOCK AVERAGE; GOLD; INTERNATIONAL MONETARY SYSTEM;
PRICES; STOCK PRICES AND **TRADING VOLUME** ; STOCKS (CORPORATE); STOCKS
AND BONDS (GENERAL)
PERSONAL NAMES: VARTAN, VARTANIG G; VOLCKER, PAUL A (CHMN)
GEOGRAPHIC NAMES: UNITED STATES

12/5/3 (Item 2 from file: 474)

DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

00723284 NYT Sequence Number: 084120760917

(Big decline in nation's money supply impels bond dealers to raise bond prices to highest levels of '76. Interest on municipal bonds falls to lowest levels since early '75, while corporate bonds fall to lowest levels since early '74 (M).)

New York Times, Col. 1, Pg. 2, Sec. 4
Friday September 17 1976

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

DESCRIPTORS: BOND PRICES AND **TRADING VOLUME** ; BONDS (GOVERNMENT);
INTEREST (MONEY); MONEY SUPPLY

12/5/4 (Item 3 from file: 474)

DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

00645258 NYT Sequence Number: 107603750114

(London Stock Exch experiences big rally, sparked by hopes for forceful Govt action to deal with country's econ crisis. Prices score best gains since Oct '74. Upsurge gets impetus from Jan 10 statement by Denis Healey, Chancellor of Exchequer, who says trade unions might have to accept lower wages as price for avoiding further unemployment. Healey comment constitutes first hint that Govt might clamp down on inflationary wage contracts. Employment Sec Michael Foot on Jan 13 denies that it was Healey's intention singlehandedly to 'rewrite' contract, but does not rule out some effect to strengthen it in mos ahead. Other factors influencing rally include downtrend in interest rates in GB and US, recent strength of mkt on NYSE, and indications that Pres Ford will act to stimulate Amer econ (M).)

ROBARDS, TERRY
New York Times, Col. 7, Pg. 43
Tuesday January 14 1975

DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

DESCRIPTORS: ECONOMIC CONDITIONS AND TRENDS; LABOR; STOCKS AND BONDS;
WAGES AND SALARIES; STOCK PRICES AND **TRADING VOLUME**
PERSONAL NAMES: ROBARDS, TERRY; FORD, GERALD RUDOLPH JR; FOOT, MICHAEL
(MP); HEALEY, DENIS (MP)
GEOGRAPHIC NAMES: GREAT BRITAIN; UNITED STATES

12/5/5 (Item 4 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2005 The New York Times. All rts. reserv.

00562866 NYT Sequence Number: 025211751219
(Credit mkts are active on Dec 18 after Fed Reserve repts \$3-billion
decline in money supply; bond prices surge and close at their highest
levels of day (M))
New York Times, Col. 7, Pg. 59
Friday December 19 1975
DOCUMENT TYPE: Newspaper JOURNAL CODE: NYT LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: FEDERAL RESERVE BANK OF NEW YORK; FEDERAL RESERVE SYSTEM
DESCRIPTORS: BOND PRICES AND TRADING VOLUME ; BONDS (GOVERNMENT);
FINANCES; STOCKS AND BONDS
PERSONAL NAMES: ALLAN, JOHN H
GEOGRAPHIC NAMES: UNITED STATES (1975 PART 1)

12/5/6 (Item 1 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06289495
STOCKS FALL; BONDS GAIN; DOLLAR IS OFF
Wall Street Journal, Col. 3, Pg. 1, Sec. C
Thursday December 17 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Wednesday's Markets column reports that stock prices dropped, bond prices were up and dollar weakened; notes that Dow Jones Industrial Average slid 29.18 points to 3255.18, Standard & Poor's index fell 1.05 to 431.52 and Nasdaq Composite Index was down 1.12 to 649.63; adds that small gain in November housing starts and 1.5% **decline** in building permits helped drive market down (S)

DESCRIPTORS: STOCK EXCHANGES; DOW JONES STOCK AVERAGE; STANDARD & POOR'S
STOCK INDEX; NASDAQ **COMPOSITE** INDEX; STOCK **PRICES** AND **TRADING**
VOLUME

12/5/7 (Item 2 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06288466
INDEX RISES 0.09% TO A RECORD 667.12 AFTER OVERCOMING MORNING DOLDRUMS
Wall Street Journal, Col. 2, Pg. 6, Sec. C
Wednesday December 9 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter market wakes from morning lull to again finish at new high; Nasdaq Composite Index rises 0.59, or 0.09% to 667.12 to set ninth

record close in past ten trading days; advancing issues outnumber
decliners 1,332 to 1,291 (OTC Focus) (M)

DESCRIPTORS: STOCK PRICES AND TRADING VOLUME ; NASDAQ COMPOSITE
INDEX; OVER-THE-COUNTER TRADING

12/5/8 (Item 3 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06286869

INDEX FALLS 0.59% AMID HEAVY SELLING IN SOME TECHNOLOGIES; TRADING SLOWS

Wall Street Journal, Col. 1, Pg. 6, Sec. C

Tuesday November 24 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Nasdaq Composite Index drops 3.76 points to 638.84 on volume of
179,760,000 shares; two points of **decline** is accounted for by 6 1/2 point
drop by Microsoft Corp; some active stocks noted; Nasdaq began early
morning automated trading on Monday; (OTC Focus) (M)

COMPANY NAMES: MICROSOFT CORP

DESCRIPTORS: OVER-THE-COUNTER **TRADING** ; STOCKS AND BONDS; NASDAQ
COMPOSITE INDEX; STOCKS (CORPORATE); STOCK **PRICES** AND **TRADING**
VOLUME

12/5/9 (Item 4 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06286402

SMALL-STOCK RALLY TAKES LEAD; DOW TAGS ALONG TO ADVANCE 14.05

Wall Street Journal, Col. 3, Pg. 2, Sec. C

Thursday November 19 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Nasdaq Composite Index stages rally after two-day **decline**, leading
surge by Dow Jones Industrials as well; Nasdaq gains 7.79 to 634.86, while
Dow Jones jumps 14.05 to 3207.37, on volume of 218,660,000 shares; American
Stock Exchange Market Value Index rises 2.20 to 386.49; other US stock
exchanges reported and some active stocks noted; graph; (Abreast of the
Market) (M)

SPECIAL FEATURES: Graph

DESCRIPTORS: STOCKS AND BONDS; DOW JONES STOCK AVERAGE; STOCK EXCHANGES;
STOCK **PRICES** AND **TRADING** **VOLUME** ; NASDAQ **COMPOSITE** INDEX; AMERICAN
STOCK EXCHANGE MARKET VALUE INDEX

12/5/10 (Item 5 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06284550

INVESTORS TAKE POSITIONS ON ELECTION DAY; PROGRAM TRADES HIT TECHNOLOGY STOCKS

Wall Street Journal, Col. 3, Pg. 6, Sec. C

Wednesday November 4 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Election Day profit-takers push over-the-counter stocks down; Nasdaq Composite Index **declines** 2.99 to 604.58, with declining issues outnumbering advancers 1266 to 1118; many technology stocks post losses due to computer-driven program trading; some active stocks noted; (OTC Focus)

DESCRIPTORS: OVER-THE-COUNTER **TRADING** ; STOCKS AND BONDS; NASDAQ **COMPOSITE** INDEX; STOCK **PRICES** AND **TRADING** **VOLUME**

12/5/11 (Item 6 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

06283985

STOCK INDEXES END MOSTLY HIGHER, BUT INDUSTRIALS FALL 5.13 TO 3246.27

Wall Street Journal, Col. 3, Pg. 2, Sec. C

Friday October 30 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Dow Jones Industrial Average is down 5.13 points to 3246.27, Standard & Poor's Stock Index rises 0.73 to 420.86, New York Composite Index rises 0.51 to 231.42, Nasdaq Composite Index is up 4.43 points to 605.82; advancers outnumber **decliners** ; short-covering may have played role in smaller-stock rally; (Abreast of the Market); graph (M)

SPECIAL FEATURES: Graph

DESCRIPTORS: DOW JONES STOCK AVERAGE; STANDARD & POOR'S STOCK INDEX; NASDAQ **COMPOSITE** INDEX; STOCK **PRICES** AND **TRADING** **VOLUME**

12/5/12 (Item 7 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

06283585

INDEX ADVANCES, BUT SHARP DECLINES IN U.S. HEALTHCARE, TOKOS SLOW RALLY

Wall Street Journal, Col. 1, Pg. 6, Sec. B

Tuesday October 27 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stocks are up slightly, but were hampered by **decline** in several health care issues; Nasdaq Composite Index is up 1.62 to 598.92, with advancers leading **decliners** 1232 to 1149; health care **decline** was led by 13% drop in U.S. Healthcare and 18% sell-off of Tokos Medical; other active stocks noted; (OTC Focus) (M)

COMPANY NAMES: US HEALTHCARE; TOKOS MEDICAL CORP

DESCRIPTORS: OVER-THE-COUNTER **TRADING** ; NASDAQ **COMPOSITE** INDEX; STOCK

PRICES AND TRADING VOLUME ; STOCKS (CORPORATE)

12/5/13 (Item 8 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06281173

STOCKS RECOVER FROM 3-DAY HAMMERING WITH INDEX ADVANCING 0.94% TO 570.55
Wall Street Journal, Col. 2, Pg. 6, Sec. C
Wednesday October 7 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stocks advance after three days to **decline** ; Nasdaq Composite Index is up 5.34 to 570.55, though volume was down from 223.7 million shares on Monday to 195.5 million on Tuesday; active stocks noted; (OTC Focus) (M)

DESCRIPTORS: OVER-THE-COUNTER **TRADING** ; NASDAQ **COMPOSITE** INDEX; STOCKS (CORPORATE); STOCK **PRICES** AND **TRADING VOLUME** ; STOCKS AND BONDS

12/5/14 (Item 9 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06277877

SHARES FALL A BIT IN LETHARGIC TRADING AMID WORRY ABOUT ECONOMY, ELECTION
Wall Street Journal, Col. 1, Pg. 6, Sec. C
Wednesday September 9 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Nasdaq Composite Index **declines** 2.27 to 571.17, on volume of 123.9 million shares; some active issues noted (OTC Focus column) (S)

COMPANY NAMES: NATIONAL ASSN OF SECURITIES DEALERS AUTOMATED QUOTATIONS
DESCRIPTORS: STOCKS AND BONDS; OVER-THE-COUNTER **TRADING** ; STOCK **PRICES**
AND **TRADING VOLUME** ; NASDAQ **COMPOSITE** INDEX
PERSONAL NAMES: CALIAN, SARA; PETTIT, DAVE

12/5/15 (Item 10 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06275156

INDEX SLIPS 0.27% AMID SELL PROGRAMS, LETHARGY AND FEARS OF A WEAKER DOLLAR
ELLIOTT, CHRISTOPHER
Wall Street Journal, Col. 1, Pg. 6, Sec. C
Wednesday August 12 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Nasdaq Composite Index **declines** 1.54 points to 571.60, on volume of about 118 million shares; some active issues noted (OTC Focus column) (S)

COMPANY NAMES: NATIONAL ASSN OF SECURITIES DEALERS AUTOMATED QUOTATIONS
DESCRIPTORS: STOCKS AND BONDS; OVER-THE-COUNTER **TRADING ; STOCK PRICES**
AND **TRADING VOLUME ; NASDAQ COMPOSITE INDEX**
PERSONAL NAMES: ELLIOTT, CHRISTOPHER

12/5/16 (Item 11 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06274302
OTC FOCUS
ELLIOTT, CHRISTOPHER
Wall Street Journal, Col. 1, Pg. 6, Sec. C
Wednesday August 5 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Stock prices **declined** on over-the-counter market on August 4; Nasdaq Composite Index lost 1.04 points, to close at 581.32 on volume of approximately 143 million shares (M)

DESCRIPTORS: STOCKS AND BONDS; OVER-THE-COUNTER **TRADING ; STOCK PRICES**
AND **TRADING VOLUME ; NASDAQ COMPOSITE INDEX**
PERSONAL NAMES: ELLIOTT, CHRISTOPHER

12/5/17 (Item 12 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06266682
OTC FOCUS: INDEX FALLS 0.80% ON FEAR THAT A RISE IN OIL PRICES WOULD HINDER RECOVERY
ELLIOTT, CHRISTOPHER
Wall Street Journal, Col. 1, Pg. 6, Sec. C
Wednesday May 27 1992
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:
Stock prices **declined** on over-the-counter market on May 26 amid fears of higher oil **prices ; Nasdaq Composite Index** lost 4.65 points, to close at 575.64 on **volume** of 106,460,000 shares (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME ;**
OVER-THE-COUNTER **TRADING ; NASDAQ COMPOSITE INDEX**
PERSONAL NAMES: ELLIOTT, CHRISTOPHER

12/5/18 (Item 13 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06263490
THE PERILS OF IPOs, PART II: THE 'PRE-INSIDE SALES' DUMP
TORRES, CRAIG
Wall Street Journal, Col. 3, Pg. 1, Sec. C

Wednesday April 29 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

As pace of initial public offerings slows, smart money is turning to different way to play new issues: dumping shares of young IPOs ahead of heavy selling by company insiders (Heard on the Street column); selling sprees have brought swift and bewildering price **declines** of 10% or more in some young IPOs lately, as traders make carefully timed, highly informed bets that IPO insiders will unload shares as soon as IPO underwriting contract allows; typically, such raids in new stocks occur at expense of thousands of uninformed investors and often even corporate officials, directors and others who are hoping to get best price for their stock; graphs (M)

SPECIAL FEATURES: Graph

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;

INSIDERS INFORMATION; INVESTMENT STRATEGIES

PERSONAL NAMES: TORRES, CRAIG

12/5/19 (Item 14 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

06262977

OTC FOCUS

PETTIT, DAVE

Wall Street Journal, Col. 1, Pg. 6, Sec. C

Friday April 24 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Nasdaq Composite Index on April 23 **declines** 2.18 to 576.05 (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK **PRICES** AND **TRADING VOLUME** ;

NASDAQ **COMPOSITE** INDEX

PERSONAL NAMES: PETTIT, DAVE

12/5/20 (Item 15 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

06255370

OTC FOCUS

Wall Street Journal, Col. 3, Pg. 8, Sec. C

Thursday February 20 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Stock prices **declined** on over-the-counter market on February 19 on drop in **prices** of biotechnology issues; Nasdaq **Composite** Index lost 4 points, to close at 622.41 on **volume** of 248.4 million shares (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;

OVER-THE-COUNTER **TRADING** ; BIOTECHNOLOGY

PERSONAL NAMES: NEWMAN, ANNE; PETTIT, DAVE

12/5/21 (Item 16 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06253148

SLUMP IN INDUSTRIALS AND BOND PRICES SENDS NASDAQ COMPOSITE DOWN SHARPLY

PETTIT, DAVE

Wall Street Journal, Col. 1, Pg. 6, Sec. C

Thursday January 30 1992

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Nasdaq Composite Index **declines** 4.98 points to 616.31, on volume of 207,230,430 shares; some active issues noted (OTC Focus column) (S)

COMPANY NAMES: NATIONAL ASSN OF SECURITIES DEALERS AUTOMATED QUOTATIONS

DESCRIPTORS: STOCKS AND BONDS; OVER-THE-COUNTER **TRADING** ; STOCK PRICES
AND **TRADING VOLUME**

PERSONAL NAMES: PETTIT, DAVE

12/5/22 (Item 17 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

06019873

OTC FOCUS

NEWMAN, ANNE

Wall Street Journal, Col. 1, Pg. 6, Sec. C

Tuesday July 23 1991

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stock **prices declined** on July 22; Nasdaq **Composite** Index fell 2.56 points, closing at 494.99 on **volume** of 127.9 million shares (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;

OVER-THE-COUNTER **TRADING** ; NASDAQ COMPOSITE INDEX

PERSONAL NAMES: NEWMAN, ANNE

12/5/23 (Item 18 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

05783487

SHARE PRICES EASE IN LISTLESS TRADING; COMPOSITE INDEX DECLINES 1.35 TO 371.05

HOFFMAN, HOWARD

Wall Street Journal, Col. 1, Pg. 22, Sec. 1

Friday December 28 1990

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stocks trade listlessly in New York, with Nasdaq composite index closing down 1.35 points, at 371.05 (S)

DESCRIPTORS: STOCKS AND BONDS; OVER-THE-COUNTER **TRADING** ; STOCK PRICES
AND **TRADING VOLUME**

PERSONAL NAMES: HOFFMAN, HOWARD

12/5/24 (Item 19 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

05771509

OTC FOCUS

HOFFMAN, HOWARD

Wall Street Journal, Col. 1, Pg. 6, Sec. C

Tuesday August 21 1990

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stock **prices declined** on August 20; Nasdaq **Composite** Index lost 4.90 points, closing at 388.59 on **volume** of 115.5 million shares (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;
OVER-THE-COUNTER **TRADING**

PERSONAL NAMES: HOFFMAN, HOWARD

12/5/25 (Item 20 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

05761337

WORLD MARKETS

Wall Street Journal, Col. 1, Pg. 12, Sec. C

Tuesday May 1 1990

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Share prices on Korea Stock Exchange plunge 31.71 points--largest single-day drop, with Korea Composite Stock Price Index closing at 688.66; stock prices **declined** in London, with Financial Times Index losing 5.1 points, to close at 1653.6 (M)

COMPANY NAMES: KOREA STOCK EXCHANGE; INTERNATIONAL STOCK EXCHANGE (LONDON)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME**

12/5/26 (Item 21 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

05757630

OTC FOCUS

SMITH, CRAIG S

Wall Street Journal, Col. 3, Pg. 6, Sec. C

Thursday March 22 1990
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stock prices **declined** on March 21; Nasdaq Composite Index lost 0.78 points, to close at 439.30 points (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;
OVER-THE-COUNTER **TRADING**
PERSONAL NAMES: SMITH, CRAIG S

12/5/27 (Item 22 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

05751674

OTC FOCUS

Wall Street Journal, Col. 5, Pg. 6, Sec. C
Thursday January 18 1990
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Over-the-Counter stock prices **declined** on January 17; Nasdaq Composite Index lost 1.48 points, to close at 438.68 (M)

DESCRIPTORS: STOCKS AND BONDS; OVER-THE-COUNTER **TRADING** ; STOCK PRICES
AND **TRADING VOLUME**
PERSONAL NAMES: STEPTOE, SONJA; HOFFMAN, HOWARD

12/5/28 (Item 23 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

05532396

OTC FOCUS

AREDDY, JAMES T
Wall Street Journal, Col. 5, Pg. 6, Sec. 3
Thursday June 22 1989
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

ABSTRACT:

Over-the-counter stock **prices declined** on June 21; Nasdaq **Composite** Index lost 0.16 points, to close at 443.86 on **volume** of 134.6 million shares (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;
OVER-THE-COUNTER **TRADING**
PERSONAL NAMES: AREDDY, JAMES T

12/5/29 (Item 24 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

05529019

OTC FOCUS

STEPTOE, SONJA

Wall Street Journal, Col. 5, Pg. 6, Sec. 3

Wednesday May 17 1989

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

ABSTRACT:

Stock prices **declined** on over-the-counter market on May 16 for first time in five sessions--from drop in prices of big computer stocks; Nasdaq Composite Index dropped 0.55, to close at 435.66 (M)

DESCRIPTORS: STOCKS AND BONDS; STOCK PRICES AND **TRADING VOLUME** ;

OVER-THE-COUNTER **TRADING** ; DATA PROCESSING (COMPUTERS)

PERSONAL NAMES: STEPTOE, SONJA

12/5/30 (Item 25 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

01147483 NYT Sequence Number: 013698800214

(SEC approves several rules designed to improve information about stock prices. Rules require listing of highest bids and lowest offers for stocks participating in NASDAQ system, automated quotation system for over-the-counter securities. Will also change way quotes are retrieved on quotation display equipment (S).)

Wall Street Journal, Col. 1, Pg. 4

Thursday February 14 1980

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

COMPANY NAMES: SECURITIES AND EXCHANGE COMMISSION (S; E; C;); SECURITIES DEALERS, NATIONAL ASSN OF (NASD)

DESCRIPTORS: DECISIONS AND VERDICTS; DISCLOSURE OF INFORMATION;

OVER-THE-COUNTER **TRADING** ; REFORM AND REORGANIZATION (INSTITUTIONAL);

STANDARDS AND STANDARDIZATION; STOCK PRICES AND **TRADING VOLUME** ;

STOCKS (CORPORATE); STOCKS AND BONDS (GENERAL)

12/5/31 (Item 26 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs

(c) 2005 The New York Times. All rts. reserv.

01137927 NYT Sequence Number: 004142800505

(Efforts to form acceptable national market system, mandated by Congress in '75 to assure investors of best available price regardless of where stock is traded, detailed. Negotiations and underway to hook quotation systems for over-the-counter stocks into Intermarket Trading System (ITS), which links six member stock exchanges. Details of ITS system, which has created new opportunities, but is often bypassed during hectic trading (M).)

SCHORR, BURT

Wall Street Journal, Col. 6, Pg. 1

Monday May 5 1980

DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English

RECORD TYPE: Abstract

DESCRIPTORS: CENTRAL MARKET SYSTEM (STOCKS); STOCK PRICES AND **TRADING**

VOLUME ; OVER-THE-COUNTER **TRADING** ; STOCKS (CORPORATE); STOCK AND

COMMODITY EXCHANGE; STOCKS AND BONDS (GENERAL)
PERSONAL NAMES: SCHORR, BURT

12/5/32 (Item 27 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

01112636 NYT Sequence Number: 011866781020
(SEC proposes several rules designed to give brokers and investors more complete information about stock prices. Rules would require the listing of best bids and offers for stocks participating in the NASDAQ system, automated quotation system for over-the-counter securities. Would also require changes in quotation display equipment that would eliminate the advantages that NY Stock Exchange has over other markets (S).)
Wall Street Journal, Col. 1, Pg. 5
Friday October 20 1978
DOCUMENT TYPE: Newspaper JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: SECURITIES AND EXCHANGE COMMISSION (S; E; C;); SECURITIES DEALERS, NATIONAL ASSN OF (NASD); STOCK EXCHANGE, NY (NYSE)
DESCRIPTORS: BROKERS AND BROKERAGE FIRMS; DISCLOSURE OF INFORMATION; OVER-THE-COUNTER TRADING ; STOCK AND COMMODITY EXCHANGE REGULATIONS; STOCK PRICES AND TRADING VOLUME ; STOCKS AND BONDS (GENERAL)

12/5/33 (Item 28 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs
(c) 2005 The New York Times. All rts. reserv.

01060647 NYT Sequence Number: 022235750114
(R E Rustin repts that composite stock ticker tape for US stock exchs and over-the-counter trading mkts will not go into operation on scheduled Feb 21 '75 date because of Tech problems. Quotes views of Composite Tape Assn chmn F J Palamara on delay, int. Describes proposed operation of composite tape system (M).)
RUSTIN, RICHARD E
Wall Street Journal, Col. 3, Pg. 6
Tuesday January 14 1975
DOCUMENT TYPE: Newspaper; Interview JOURNAL CODE: WSJ LANGUAGE: English
RECORD TYPE: Abstract

COMPANY NAMES: COMPOSITE TAPE ASSN; STOCK EXCHANGE, AMERICAN (ASE); STOCK EXCHANGE, NY (NYSE)
DESCRIPTORS: DATA PROCESSING (INFORMATION PROCESSING) EQUIPMENT AND SYSTEMS; OVER-THE-COUNTER TRADING ; STOCK PRICES AND TRADING VOLUME ; STOCKS AND BONDS; CENTRAL MARKET SYSTEM (STOCKS); INTERMARKET TRADING SYSTEM (STOCKS); ELECTRONIC STOCK MARKET SYSTEM; CONSOLIDATED TICKER TAPE ; NATIONAL MARKET SYSTEM (STOCKS); ITS (INTERMARKET TRADING SYSTEM) STOCKS; NATIONAL STOCK MARKET SYSTEM
PERSONAL NAMES: RUSTIN, RICHARD E; PALAMARA, FRANCIS J

12/5/34 (Item 1 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
(c) 2002 The Gale Group. All rts. reserv.

05042332
Good institutional response to GBP2.5bn gilt auction

UK - GOOD INSTITUTIONAL RESPONSE TO GBP2.5BN GILT AUCTION
Financial Times (C) 1992 (FT) 30 April 1992 p33

United Kingdom: the Bank of England comfortably sold GBP 2.5bn long-dated government bonds yesterday (29.04.92), drawing a good response from UK institutions to the first auction in the GBP33bn funding programme expected this fiscal year. The market reacted positively to the results of the auction, with dealers relieved the heavy funding programme had started well. Bids for the 8 3/4 per cent bonds maturing 2017 covered the stock available by 1.82 times. The results showed a consensus among investors on the correct pricing for the new issue. The lowest price **accepted** by the Bank of England were 97 3/8, where the yield is 9.02 per cent. Around 19 per cent of the issue was sold at this low level. The highest bid was 97 15/32, for a yield of 9.01 per cent. Following the announcement of the auction results, the gilt futures contract on Liffe, the London futures exchange, rose sharply from an opening level of 98.17 to 99.04. Traders said the rally was exaggerated by dealers covering positions taken ahead of the auction. However, in the afternoon prices settled. By the close the contract stood at 98.20. **Trading volumes** was a heavy 58,000 contracts. The 9 per cent gilt maturing 2011 closed unchanged on the day at 99 23/32, for a yield of 9.02 per cent. Analysts said the government has now raised GBP7bn since the Conservative election victory. (Abstract)**
Copyright: Financial Times Ltd 1992

PRODUCT: Gilts (6200GI);
EVENT: NEW SERVICE EXTENSION (36);
COUNTRY: United Kingdom (4UK); OECD Europe (415); European Economic
Community Countries (419); NATO Countries (420); South East Asia Treaty
Organisation (913);
?

Set	Items	Description
S1	11510091	TRADES OR TRADE OR TRADING OR TRANSACT? OR BUYING OR SELLING OR BUY? ? OR SELL? ? OR PURCHASING
S2	8384323	PRICE OR PRICING OR PRICES OR QUOTE? ? OR BID? ?
S3	6115648	COMPOSITE? ? OR BEST OR HIGHEST
S4	4300148	VOLUM? OR QUANTIT? OR AMOUNT OR BULK
S5	5591786	DISPLAY? OR VIEW? OR OUTPUT? ? OR GUI OR INTERFACE
S6	5331929	ACCEPT? OR REJECT? OR DECLINE?
S7	141708	S2(4N)S3
S8	28515	S7(S)S1
S9	2260	S8(20N)S4
S10	43	S9(20N)S5
S11	101	S9 NOT PY>1992
S12	15	S11(20N)(S5 OR S6)
S13	15	RD (unique items)

File 20:Dialog Global Reporter 1997-2005/Sep 09
 (c) 2005 Dialog
 File 476:Financial Times Fulltext 1982-2005/Sep 09
 (c) 2005 Financial Times Ltd
 File 610:Business Wire 1999-2005/Sep 09
 (c) 2005 Business Wire.
 File 613:PR Newswire 1999-2005/Sep 09
 (c) 2005 PR Newswire Association Inc
 File 624:McGraw-Hill Publications 1985-2005/Sep 09
 (c) 2005 McGraw-Hill Co. Inc
 File 634:San Jose Mercury Jun 1985-2005/Sep 08
 (c) 2005 San Jose Mercury News
 File 810:Business Wire 1986-1999/Feb 28
 (c) 1999 Business Wire
 File 813:PR Newswire 1987-1999/Apr 30
 (c) 1999 PR Newswire Association Inc

13/3,K/1 (Item 1 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2005 Financial Times Ltd. All rts. reserv.

0006501551 BOCFVA1ACUFT

London Bullion Market (7): At the tip of an iceberg - The Fixings

RICHARD MOONEY

Financial Times, P IV

Monday, June 22, 1992

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 1,143

...standard during the First World War. Until then the Bank of England stood ready to **buy** any **amount** of gold at Pounds 3.17.9d a troy ounce and to **sell** at Pounds 3.17.10 1/2 d. During the war the bank bought all South Africa's gold **output** at Pounds 4.4.11d, but when sterling was devalued against the US dollar in...

13/3,K/2 (Item 2 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2005 Financial Times Ltd. All rts. reserv.

0006003503 BOBFFBOAGCFT

World Stock Markets (America): Dow ends sharply lower as bond prices weaken

PATRICK HARVERSON

Financial Times, P 35

Thursday, June 6, 1991

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 647

...Dollars 9 a share.

Canada

A RISE in transportation stocks led an improvement in Toronto **prices** in moderate **trading**.

The **composite** index gained 6.68 points to close at 3,556.27. Advancing issues edged **declines** 279 to 255 on **volume** of 22.9m shares.

Declining groups were led by pipelines, down 0.8 per cent...

13/3,K/3 (Item 3 from file: 476)
DIALOG(R)File 476:Financial Times Fulltext
(c) 2005 Financial Times Ltd. All rts. reserv.

0005553957 BOAIEBGAF0FT

World Stock Markets (America): Worries over Gulf threats push equities sharply lower

JANET BUSH

Financial Times, P 39

Wednesday, September 5, 1990

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 616

...CANADA

STOCK prices closed lower in light post-Labor Day trading, amid rising

world oil prices .

The **composite** index lost 12.98 to 3,333.27 as **declines** led advances 330 to 190. **Volume** was 13,9m shares, down from 17,1m on Friday, and **trading** value fell to CDollars 163.5m from CDollars 212.5m.

The October crude oil futures...

13/3,K/4 (Item 4 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext

(c) 2005 Financial Times Ltd. All rts. reserv.

0005048555 B09JDAZAGGFT

World Stock Markets (America): Strong dollar helps propel Dow to an all-time peak

JANET BUSH

Financial Times, P 45

Wednesday, October 4, 1989

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 624

...2 and Contel's A shares added Dollars 1/8 to Dollars 26.

CANADA

ACTIVE **trading** saw Toronto share **prices** make strong gains.

The **composite** index closed up 39.2 at 4,011.9 on **volume** of 34m shares, compared with Monday's **volume** of 22m shares.

Advances outnumbered **declines** by 413 to 292 and trading value was CDollars 409m compared with CDollars 247m on...

13/3,K/5 (Item 5 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext

(c) 2005 Financial Times Ltd. All rts. reserv.

0005043609 B09JZBLAG7FT

World Stock Markets (America): Dow drifts lower in calm trading:

KAREN ZAGOR

Financial Times, P 37

Thursday, October 26, 1989

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 784

...down Dollars 3/8 Dollars 33 1/4 .

CANADA

QUIET trading in Toronto left share **prices** slightly higher yesterday.

The **composite** index finished at 3,906.68, up 7.55 points, as advances led **declines** by 332 to 314. **Volume** of 21m shares was boosted by a block of about 2.1m shares in Geddes...

13/3,K/6 (Item 6 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext

(c) 2005 Financial Times Ltd. All rts. reserv.

0005042364 B09KBBJAF0FT

**World Stock Markets (America): Economic and takeover hopes help Dow advance
- Wall Street**

JANET BUSH

Financial Times, P 45

Wednesday, November 1, 1989

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 677

...the company for Dollars 6 1/4 a share.

Canada

MODERATE trading left Toronto share **prices** higher. The **composite** index was up 31.45 at 3,918.62 as advances led **declines** by 377 to 295. **Volume** rose to 29m shares from 21m on Monday.

BP Canada lost CDollars 1/4 to...

13/3,K/7 (Item 7 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext

(c) 2005 Financial Times Ltd. All rts. reserv.

0005040213 B09KJBEAGNFT

**World Stock Markets (America): Dow makes swift retreat as bonds go into
reverse**

JANET BUSH

Financial Times, P 43

Friday, November 10, 1989

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 618

...CANADA

MODERATE TRADING left Toronto mostly steady at the close after a session of narrow **price** movements. The **composite** index eased 5.52 to 3,931.50.

Declines led advances by 364 to 312 and **volume** totalled 27.5m shares worth CDollars 344m, well down on Wednesday's 33m and CDollars...

13/3,K/8 (Item 8 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext

(c) 2005 Financial Times Ltd. All rts. reserv.

0005038422 B09KRAIAFNFT

World Stock Markets (America): Buyback triggers technology issues recovery
Financial Times, P 15

Saturday, November 18, 1989

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 589

...A week of trendless trade, Toronto stocks ended firmer in a narrow range on light **volume**.

Expiry of options and index futures helped some stocks, and **buying** of gold shares by brokerage houses also lifted **prices**.

The **composite** index rose 11.55 points to 3,957.57 with **volume** of 25.25m shares. Advances led **declines** 337 to 313.

Surging shares of the base metals producer, Inco, also helped stocks, after ...

13/3,K/9 (Item 9 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext
(c) 2005 Financial Times Ltd. All rts. reserv.

0003020383 B05DDBKACEFT

BNOC To Be Abolished As Government Reacts To Oil Market Trends

IAN HARGREAVES and DOMINIC LAWSON

Financial Times, Section A. ED, P 1

Thursday, March 14, 1985

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 1,113

...in kind payable to the Government - about 250,000 b/d at present, although the **amount** will **decline** steadily during the rest of the decade. Gopa will **sell** this oil in the spot market at the **best price** it can find.

Gopa will also, as its name suggests, inherit BNOC's role in...

13/3,K/10 (Item 10 from file: 476)

DIALOG(R)File 476:Financial Times Fulltext
(c) 2005 Financial Times Ltd. All rts. reserv.

0001551789 B0CDCBMADNFT

Companies and Markets: World Stock Markets - Canada

Financial Times, P 18

Saturday, August 7, 1982

DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

Word Count: 43

TEXT:

Toronto stocks closed lower in light trading as concern about interest rates and the sharp **decline** on Wall Street depressed **prices**.

The **Composite** Index fell 6.1 to 1,413.4 on **volume** of 3.37m shares. Losers led gainers 217 to 164.

13/3,K/11 (Item 1 from file: 624)

DIALOG(R)File 624:McGraw-Hill Publications
(c) 2005 McGraw-Hill Co. Inc. All rts. reserv.

0064113

DOE SOLICITING BIDS FOR CONTRACTS TO BUY GAS FROM ELK HILLS RESERVE

Inside FERCS Gas Market Report, Pg 10

March 18, 1988

JOURNAL CODE: GMR

SECTION HEADING: Marketing ISSN: 8756-3711

WORD COUNT: 216

TEXT:

...day the government is offering for sale, according to DOE spokesman John Donnelly. The minimum **quantity** the agency will contract to **sell** is 7,500 MMBtu/day.

DOE said that the minimum price the government will **accept** for the production is the higher of either 90% of the current sales price of...

13/3,K/12 (Item 2 from file: 624)
DIALOG(R) File 624: McGraw-Hill Publications
(c) 2005 McGraw-Hill Co. Inc. All rts. reserv.

0029049

EARLY RESULTS FROM INDIANA ELECTRICITY BROKERING EXPERIMENT SHOW PROMISE

Electric Utility Week, Pg 3

February 9, 1987

JOURNAL CODE: EUW

ISSN: 0046-1695

WORD COUNT: 634

TEXT:

... structured so that each hour dispatchers at the participating utilities send into a centralized computer **buy** and **sell** quotations for specified **quantities** of economy energy. The brokering program then matches possible **transaction** combinations: **highest** purchase and lowest sale **prices**, second **highest** and next lowest **prices**, and so on until all quotes are matched or a minimum spread level is reached.

The computerized combinations are then **displayed** to all EBTP participants for possible exploitation. If a deal is not in fact struck...

13/3,K/13 (Item 1 from file: 813)
DIALOG(R) File 813: PR Newswire
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0508680

MN008

TECHNE RELEASES UNAUDITED FOURTH QUARTER AND ANNUAL OPERATING RESULTS FOR FISCAL YEAR 1992

DATE: August 17, 1992 11:07 EDT WORD COUNT: 938

...Techne's entry into the NASDAQ/NMS gives broker-dealers immediate electronic access to the **best bid** and asked **price** as well as daily **volume** information throughout the **trading** day. **Acceptance** to NASDAQ/NMS also qualifies Techne's stock for **trading** in several other states and helps to satisfy the minimum requirements of dozens of potential...

13/3,K/14 (Item 2 from file: 813)
DIALOG(R) File 813: PR Newswire
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0451552

c0101

FREE MONTREAL-BY-PRICE DATA FROM THE MONTREAL EXCHANGE

DATE: March 2, 1992

14:26 ET

WORD COUNT: 154

...existing Montreal-by-Price and all
other Telerate and Star Data subscribers.

Montreal-by-Price **displays** real-time **trading** information showing
the total **amount** and number of orders of the five **best bids** and
offerings for the 703 stocks traded in the ME's Electronic Order
Book.

For...

13/3,K/15 (Item 3 from file: 813)

DIALOG(R)File 813:PR Newswire

(c) 1999 PR Newswire Association Inc. All rts. reserv.

0336279

NY044

**PSA JOINT VENTURE TO EXPAND ACCESS TO GOVERNMENT SECURITIES PRICES
ANNOUNCES NEW NAME; VENDOR SPECIFICATIONS; AND RATES**

DATE: January 16, 1991

14:30 EST

WORD COUNT: 499

...quotes will be disseminated to vendors through a network
feed which will present in video **display** format a composite picture of
dealer activity showing executed **trade prices**, **volume** of executed
trades, **best bid** quotations and the offer quotations accompanying the
best bids; yield, and running aggregate **volumes** traded in each
security
on a daily basis.

Information about vendor specifications and rates can...

?

Set	Items	Description
S1	11879606	TRADES OR TRADE OR TRADING OR TRANSACT? OR BUYING OR SELLING OR BUY? ? OR SELL? ? OR PURCHASING
S2	8304603	PRICE OR PRICING OR PRICES OR QUOTE? ? OR BID? ?
S3	5118473	COMPOSITE? ? OR BEST OR HIGHEST
S4	4792067	VOLUM? OR QUANTIT? OR AMOUNT OR BULK
S5	6035434	DISPLAY? OR VIEW? OR OUTPUT? ? OR GUI OR INTERFACE
S6	4477178	ACCEPT? OR REJECT? OR DECLINE?
S7	139061	S2(4N)S3
S8	32611	S7(S)S1
S9	2677	S8(20N)S4
S10	94	S9(20N)S5
S11	536	S9 NOT PY>1992
S12	73	S11(20N) (S5 OR S6)
S13	53	RD (unique items)

all

File 9:Business & Industry(R) Jul/1994-2005/Sep 07
(c) 2005 The Gale Group

File 15:ABI/Inform(R) 1971-2005/Sep 09
(c) 2005 ProQuest Info&Learning

File 16:Gale Group PROMT(R) 1990-2005/Sep 08
(c) 2005 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2005/Sep 09
(c)2005 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2005/Sep 08
(c) 2005 The Gale Group

File 621:Gale Group New Prod.Annou.(R) 1985-2005/Sep 09
(c) 2005 The Gale Group

File 636:Gale Group Newsletter DB(TM) 1987-2005/Sep 08
(c) 2005 The Gale Group

13/3,K/1 (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00660004 93-09225

How the U.S. Treasury should auction its debt

Chari, V V; Weber, Robert J

Federal Reserve Bank of Minneapolis Quarterly Review v16n4 PP: 3-12 Fall 1992

ISSN: 0271-5287 JRNL CODE: FMQ

WORD COUNT: 7109

...ABSTRACT: switched to a uniform-price, sealed-bid auction. Under this procedure, with bids ordered by **price**, from the **highest** to the lowest, the Treasury would still **accept quantities** up to the **amount** that it planned to **sell**, but the price winning bidders paid would not vary. A switch to this procedure would...

...TEXT: price if they are willing to accept whatever will turn out to be the average **accepted** -bid price. Once all bids are in, the Treasury first adds up the **quantity** of noncompetitive bids and subtracts that from the total debt it plans to sell. Then, starting at the **highest price bid** and moving down, the Treasury adds up the competitive **quantities** bid until it hits its total. Each competitive bidder who has won (or in the...

...switch to a uniform-price, sealed-bid auction. Under this procedure, with bids ordered by **price**, from the **highest** to the lowest, the Treasury would still **accept quantities** up to the **amount** it planned to **sell**, but the price winning bidders paid wouldn't vary. Instead, all bidders would pay the same **price**, that of the **highest bid** not **accepted** --the **price** that just clears the market.

The main reason to make this change is that the...

13/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00643163 92-58103

Stock Market: Bearish

Anonymous

Business Korea v10n4 PP: 70-71 Oct 1992

JRNL CODE: BKO

WORD COUNT: 518

...ABSTRACT: through the market as institutional investors continue to reduce their market holdings and customer deposits **decline**. With the **trading volume** plunging, the market continues to lose steam. Large-capitalization issues were down 8.6%, while...

13/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2005 ProQuest Info&Learning. All rts. reserv.

00639533 92-54473

Specialist vs. Saitori: Market-Making in New York and Tokyo

Lindsey, Richard R.; Schaede, Ulrike

Financial Analysts Journal v48n4 PP: 48-57 Jul/Aug 1992

ISSN: 0015-198X JRNL CODE: FIA

WORD COUNT: 6805

...TEXT: are no takers at that price, the special quote is raised (or lowered) by fixed **quantities**, and again held for a minimum of five minutes. This process continues until the **trade** is **accepted** by someone, it hits the limit order or it reaches the price limit for the...

13/3,K/4 (Item 4 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

(c) 2005 ProQuest Info&Learning. All rts. reserv.

00480917 90-06674

London's International Stock Exchange After Big Bang

Hodges, Theresa

World of Banking v8n6 PP: 29-30 Nov/Dec 1989

ISSN: 0730-8736 JRNL CODE: WOB

...ABSTRACT: screen-based market, the Stock Exchange Automated Quotation (SEAC). Investors could use SEAQ with existing **viewdata** screens to **view** competing price quotations. The new market structure had a dramatic impact. Although the **trading** floor seemed deserted, the exchange had more members and a higher **volume** than ever before. The system proved its robustness during the October 1987 stock market crash...

13/3,K/5 (Item 5 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

(c) 2005 ProQuest Info&Learning. All rts. reserv.

00370522 87-29356

Market Profile: Today's Hottest Trading Tool from Three Angles -- The Book/The Seminar/The Data

Elder, Alexander; Apple, Terry; Jones, Donald L.

Futures: The Magazine of Commodities & Options v16n8 PP: 60-64 Aug 1987

ISSN: 0746-2468 JRNL CODE: CMM

...ABSTRACT: theory of auction markets, he convinced the Chicago Board of Trade to collect the necessary **trading** statistics to permit market analysis within his framework. These statistics include 3 types of **displays**: 1. Market Profile, 2. **Volume** Summary, and 3. Futures Summary report. Illustrations. ...

13/3,K/6 (Item 6 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

(c) 2005 ProQuest Info&Learning. All rts. reserv.

00286066 85-26500

Committed Volume, Bid Process Yield Best Prices for State Group

Barkholz, David

Modern Healthcare v15n15 PP: 74 Jul 19, 1985

ISSN: 0160-7480 JRNL CODE: MHC

...ABSTRACT: supplies, says John A. Giampolo of the 100-hospital New Jersey Hospital Association's group **purchasing** program. Member hospitals identify appropriate products, commit to purchase **volume** in advance, and **accept** the offer from the lowest bidder. The lowest possible prices result because suppliers must consider...

13/3,K/7 (Item 1 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

02657143 Supplier Number: 43540030
'Relatively strong' growth in exports forecast for 1990s
Milling & Baking News, p16
Dec 22, 1992
Language: English Record Type: Abstract
Document Type: Magazine/Journal; Trade

ABSTRACT:
...at the Agricultural Outlook '93 conference of the USDA, Mr Donald said that, despite the **decline**, FY93 exports will be the third **highest** ever. Lower **prices** for feed grains and oilseeds will contribute to an expected **decline** in the value of **bulk** commodity exports in FY93; however, falling **trade** barriers, growing world demand and mostly plentiful US supplies should help boost US exports of...

13/3,K/8 (Item 2 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

02558127 Supplier Number: 43392017
Proteon, Inc. - Company Report
Investext, p1-1
Oct 22, 1992
Language: English Record Type: Abstract
Document Type: Magazine/Journal; Trade

ABSTRACT:
...F.H.
Proteon's management announced sharp price cuts of up to 25% on the **bulk** of its token-ring (TR) network **interface** cards (NIC, or adapters). The **price** cut on its **best - selling** "p1392 ProNET - 4/16," which features bus master direct memory access and 128 kbytes of...

13/3,K/9 (Item 3 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

02394022 Supplier Number: 43147313 (USE FORMAT 7 FOR FULLTEXT)
ISSUES OF LISTING FEE AND ACQUISITIONS AFFECT CAPITAL MARKET ACTIVITY
Indonesian Commercial Newsletter, p46
July 13, 1992
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 1123

... caused many prospective investors to cancel their share purchase plans.

All this resulted in the **decline** in the **volume** and value of share trading on the JSE for the past two weeks, followed by a decrease in the **Composite Price** Index. For the second week of June 1992, share **trading** on the JSE reached only 35 million in **volume** and Rp 193 billion in value, as opposed to 59 million in volume and Rp...

13/3,K/10 (Item 4 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

02297174 Supplier Number: 43004960 (USE FORMAT 7 FOR FULLTEXT)
MBS SIDESTEPS CONGRESSIONAL PUSH FOR PRICE DISSEMINATION
Mortgage-Backed Securities Letter, v7, n20, pN/A
May 18, 1992
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 457

... with key industry people about the possibility, but it is preliminary, Jones said. GOVPX currently **displays** screens with the **best bid** and offer quotation, last **trade** price, **volume** and yield, and aggregate daily **volume** for U.S. Treasury securities, but does not offer that information for mortgage-backededs.
Since...

13/3,K/11 (Item 5 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

02093021 Supplier Number: 42709976
JUST-IN-TIME: Can It Deliver?
Building Supply Home Centers, v162, n2, p18
Feb, 1992
Language: English Record Type: Abstract
Document Type: Magazine/Journal; Trade

ABSTRACT:
...most important issue. One disadvantage to JIT is that it can result in giving up **volume purchasing** discounts, although Bruce Merrifield of Merrifield Consulting Group says that getting the lowest **price** is not always the **best** deal. Distributors' **views** of JIT for lumber and retailers' relationships with suppliers and how they affect JIT buying...

13/3,K/12 (Item 6 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

02048405 Supplier Number: 42645238 (USE FORMAT 7 FOR FULLTEXT)
WHAT PRICE CHRISTMAS?
DIY Week, v0, n0, p25
Jan 3, 1992
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 941

... equipment and to pay good rates to talented, trained staff to create stimulating and exciting **displays**.
But what is happening today? Every garden centre of any size is now **buying** large **quantities** - and getting the **best** nett cost **price** from nearly every supplier. It's great - all that extra cash profit in a market
...

13/3,K/13 (Item 7 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

01357874 Supplier Number: 41607835 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Up

Korea Economic Daily, pN/A

Oct 12, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 75

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...of 80 selected issues went up 3.39 points to 629.03, and the broader **composite** stock **price** index rose 4.81 points to 621.93. Advances led **declines** 554 to 147, while 190 remained unchanged. **Volume** **trade** totaled 12 million shares worth 151.1 billionw on, compared with Wednesday's 18.7...

13/3,K/14 (Item 8 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2005 The Gale Group. All rts. reserv.

01052811 Supplier Number: 41164502 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Down

Korea Economic Daily, pN/A

Feb 8, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 75

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...80 selected issues plummeted 7.38 points to 871.40, and the across-the-board **composite** **price** index fell 6.47 to 880.39 points. **Declines** led advances 500 to 175, while 125 remained unchanged. **Volume** traded totaled 11.2 million shares for 250.4 billion won, compared with Tuesday's...

13/3,K/15 (Item 1 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

06096481 SUPPLIER NUMBER: 12468514 (USE FORMAT 7 OR 9 FOR FULL TEXT)

TECHNE RELEASES UNAUDITED FOURTH QUARTER AND ANNUAL OPERATING RESULTS FOR FISCAL YEAR 1992

PR Newswire, 0817A0452

August 17, 1992

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

WORD COUNT: 948 LINE COUNT: 00095

... Techne's entry into the NASDAQ/NMS gives broker-dealers immediate electronic access to the **best** **bid** and asked **price** as well as daily **volume** information throughout the **trading** day. **Acceptance** to NASDAQ/NMS also qualifies Techne's stock for **trading** in several other states and helps to satisfy the minimum requirements of dozens of potential ...

13/3,K/16 (Item 2 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

05770360 SUPPLIER NUMBER: 11921377 (USE FORMAT 7 OR 9 FOR FULL TEXT)
SEOUL SHARE PRICES CRASH ON WORRIES ABOUT FINANCIAL WOES

Kyodo, 02150030

Feb 15, 1992

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

WORD COUNT: 123 LINE COUNT: 00010

... KOREA COMPOSITE STOCK PRICE INDEX CLOSED DOWN 10.94 POINTS AT
647.69 IN ACTIVE **TRADING** .

VOLUME WAS 15.67 MILLION SHARES, COMPARED WITH 21.65 MILLION SHARES
TRADED IN FRIDAY'S FULL-DAY SESSION.

DECLINERS OUTSHONE GAINERS BY 570 TO 162 WHILE 77 ISSUES REMAINED
UNCHANGED. FIFTY-SEVEN ISSUES ROSE...

13/3,K/17 (Item 3 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

05078494 SUPPLIER NUMBER: 09760643 (USE FORMAT 7 OR 9 FOR FULL TEXT)
PSA joint venture to expand access to government securities prices;

**announces new name; vendor specifications; and rates. (Public Securities
Association, GOVPX)**

PR Newswire, p0116NY044

Jan 16, 1991

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

WORD COUNT: 527 LINE COUNT: 00052

... quotes will be disseminated to vendors through a network feed which
will present in video **display** format a composite picture of dealer
activity showing executed trade **prices** , **volume** of executed **trades** ,
best bid quotations and the offer quotations accompanying the **best**
bids ; yield, and running aggregate **volumes** traded in each security on a
daily basis.

Information about vendor specifications and rates can...

13/3,K/18 (Item 4 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

04999137 SUPPLIER NUMBER: 10632827

Globex countdown. (futures exchange)


Chesler-Marsh, Caren

Euromoney, p33(3)

March, 1991

ISSN: 0014-2433 LANGUAGE: ENGLISH RECORD TYPE: ABSTRACT

...ABSTRACT: will offer more information than is offered in the pits at
the CME and CBOT, **displaying** the **best** offer and **bid prices** and
quantity of demand.



13/3,K/19 (Item 5 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

04521119 SUPPLIER NUMBER: 08360805 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Buyer's Guide to Micro Software. (evaluation)

Veccia, Susan

Online, v14, n2, p112(1)

March, 1990

CODEN: ONLID DOCUMENT TYPE: evaluation ISSN: 0146-5422

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

WORD COUNT: 630 LINE COUNT: 00048

... Big Gains: Five Low-Cost LANs (Product Comparison) REVIEWER NAME:
Lauriston, Robert DATE: November 1989 **VOLUME** : 7 NUMBER: 11 PAGES: 163,
167 (160-170) COMMENTS: **Best Buy** . LANTastic's low **price** , painless
installation, slick user- **interface** , and minimal use of RAM make it a
winning network package.

For more information, search...

13/3,K/20 (Item 6 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

04487557 SUPPLIER NUMBER: 08054220 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Korean stocks fall sharply.

Japan Economic Newswire, K900113025

Jan 13, 1990

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

WORD COUNT: 147 LINE COUNT: 00012

... MINERALS. FINANCIAL, STEEL AND METAL ISSUES LOST SUBSTANTIALLY.
OF THE 745 ISSUES THAT POSTED TRADING, **DECLINES** OUTNUMBERED
ADVANCES BY 580 TO 107. FIFTEEN ISSUES ROSE TO THE **HIGHEST** DAILY
PERMISSIBLE **PRICE** LEVELS AND 14 FELL TO THE BOTTOM LEVELS.

VOLUME TOTALED 7.38 MILLION SHARES FOR TURNOVER WORTH 156.74
BILLION WON (ABOUT 230.5...

13/3,K/21 (Item 7 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

04122704 SUPPLIER NUMBER: 07834572 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**How to make a dealer relationship work; Mayo gets low prices and fast
delivery. (Mayo Clinic's experience with buying direct, from dealer)**

Scheier, Robert L.

PC Week, v6, n43, p109(2)

Oct 30, 1989

ISSN: 0740-1604 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 750 LINE COUNT: 00055

... specify prices, but one dealer who sells to Anderson said the Mayo
Foundation "gets our **best pricing**."

Obviously, such a deal "only makes sense if the **volume** is there,"
said the dealer, who **declined** to be identified. "It wouldn't make any
sense to set up this relationship with..."

13/3,K/22 (Item 8 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

04068767 SUPPLIER NUMBER: 07545544 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Korean stock prices close higher. (Korea Stock Exchange)
Japan Economic Newswire, K890819018
August 19, 1989
LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 188 LINE COUNT: 00015

... OF DECLINE ON THE KOREA STOCK EXCHANGE SATURDAY, REPORTS YONHAP.
THE COMPOSITE PRICE INDEX, WHICH **DECLINED** 2.92 POINTS FRIDAY, ROSE
1.27 POINTS TO CLOSE AT 903.14. **TRADING VOLUME** REACHED 10.38 MILLION
SHARES VALUED AT 148.3 BILLION WON, EXCEEDING THE 10 MILLION...

13/3,K/23 (Item 9 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

03718202 SUPPLIER NUMBER: 07245197 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Share prices dropped sharply as the market remained bearish. (Korean Stock Exchange)
Japan Economic Newswire, K881223075
Dec 23, 1988
LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 127 LINE COUNT: 00010

... IN A ROW ON THE KOREA STOCK EXCHANGE FRIDAY, REPORTS YONHAP.
THE COMPOSITE PRICE INDEX **DECLINED** 14.21 POINTS TO 876.82 IN DULL
TRADING . VOLUME STOOD AT 13.79 MILLION SHARES IN TURNOVER OF 346.2
BILLION WON.
LOSERS CAME...

13/3,K/24 (Item 10 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

03524482 SUPPLIER NUMBER: 06467212 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Samantha Hall shot in the arm to knitwear market. (Samantha Hall Inc.)
Hartlein, Robert
WWD, v155, n126, p7(1)
June 29, 1988
ISSN: 0149-5380 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 443 LINE COUNT: 00034

... was president of the Shapely blouse division of Leslie Fay Cos.,
Inc., believes stores should **display** merchandise in moderation and not
volume .

"To sell at full **price** it is **best** to have a limited number of
pieces on the floor, say 12 pieces in a four-way **display** ." he said. "That
way, the customer is attracted to the fashion rather than a sales..."

13/3,K/25 (Item 11 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

03519578 SUPPLIER NUMBER: 06806621 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Stock prices edged up moderately on the Korea Stock exchange.

Japan Economic Newswire, K880630071

June 30, 1988

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

WORD COUNT: 176 LINE COUNT: 00014

... THE COMPOSITE STOCK PRICE INDEX ENDED THE DAY UP 2.78 POINTS AT 702.83. **TRADING VOLUME** TOTALED 8.36 MILLION SHARES IN TURNOVER OF 147.60 BILLION WON.

OF THE 511 ISSUES TRADED, ADVANCES OUTNUMBERED **DECLINES** BY 248 TO 162, WITH 31 ISSUES SURGING TO THE DAILY HIGHEST PERMISSIBLE PRICE LEVELS ...

13/3,K/26 (Item 12 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2005 The Gale Group. All rts. reserv.

03503499 SUPPLIER NUMBER: 06594695 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Soft drinks merit summer resets. (reset of shelf layout in supermarkets, includes related article on powdered drinks)

Hall, Phil

Supermarket News, v38, n14, p18(2)

April 4, 1988

ISSN: 0039-5803

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 992

LINE COUNT: 00074

... up cans.

"In the course of the summertime, we get out and do a large **amount** of forward buying in an effort to obtain the **best price** we can, whereas during the wintertime we'll be backing off on **POP displays**. During the summer, we will have at least two beverage displays on the floor.

"We...

13/3,K/27 (Item 13 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2005 The Gale Group. All rts. reserv.

03284138 SUPPLIER NUMBER: 05032454 (USE FORMAT 7 OR 9 FOR FULL TEXT)

'Buy call' strategies, 'fences' to play key cash market role. (petroleum industry - playing the futures market)

Colburn, James

Oil Daily, p6(1)

July 6, 1987

ISSN: 0030-1434

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 983

LINE COUNT: 00073

... lowest of the three options examined; the lowest strike (the 48 cent call) yields the **best** protection against rising **prices** compared with the 50 or 52 cent calls.

However, if prices **decline**, the 48 cent call offers the least **amount** of participation. For example, if prices **decline** to 44 cents per gallon, effective purchase prices are 47.96, 46.92 and 46...

13/3,K/28 (Item 14 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2005 The Gale Group. All rts. reserv.

02507027 SUPPLIER NUMBER: 03979085 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Federal National Mortgage Association to conduct public auction to sell 16
foreclosed homes in Tennessee.**

PR Newswire, NE11
Oct 14, 1985

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 235 LINE COUNT: 00019

... Jr., senior vice president of Fannie Mae's southeast regional
office in Atlanta. "We will **accept** the **highest bid** on each unit,
regardless of the **amount** of the bid."

Representatives of a local lending institution will be present to
assist successful...

13/3,K/29 (Item 15 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2005 The Gale Group. All rts. reserv.

02504053 SUPPLIER NUMBER: 03940897 (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Federal National Mortgage Association will conduct two public auctions in
Florida.**

PR Newswire, NE7
Sept 19, 1985

LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 279 LINE COUNT: 00023

... Jr., senior vice president of Fannie Mae's southeast regional
office in Atlanta. "We will **accept** the **highest bid** on each unit,
regardless of the **amount** of the bid."

Representatives of local lending institutions will be present to
assist successful bidders...

13/3,K/30 (Item 1 from file: 160)
DIALOG(R)File 160:Gale Group PROMT(R)
(c) 1999 The Gale Group. All rts. reserv.

02195547

Even the best of times are tough in Zambia
New York Times (National Edition) May 29, 1989 p. 26
ISSN: 0362-4331

... unwieldly bureaucracy and price subsidies have also contributed to
the problems, as has Kaunda's **rejection** of IMF's recommendations for
restructuring the economy. IMF had suggested that Zambia decontrol prices,
liberalize foreign **trade**, freeze govt jobs and salaries and **sell** the
limited **amount** of hard foreign currency for the **highest price**.
However, Kaunda decided to substitute his own economic measures for IMF's
proposal, causing IMF...

13/3,K/31 (Item 2 from file: 160)
DIALOG(R)File 160:Gale Group PROMT(R)
(c) 1999 The Gale Group. All rts. reserv.

01386863

**Security Pacific Nears Starting Network For Trading Options on US
Securities.**
WALL STREET JOURNAL 3 STAR, EASTERN (PRINCETON, NJ) EDITION August 11,

... regulatory approval. The bank's network will consist of electronically linked video screens that will **display** price and **volume** quotations. Fees would be generated based on **transaction volume**. The network will allow traders in over-the-counter Treasury options to seek the **best bid** offer, much like exchanges. Currently in OTC Treasury options trading, traders contact securities dealers directly...

13/3,K/32 (Item 1 from file: 275)

DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01297538 SUPPLIER NUMBER: 07287974 (USE FORMAT 7 OR 9 FOR FULL TEXT)
ISE's SAEF debuts to make the market sound. (International Stock Exchange)
(SEAQ Automated Execution Facility)

Essinger, James

Wall Street Computer Review, v6, n8, p11(6)

May, 1989

ISSN: 0738-4343

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 2370

LINE COUNT: 00182

... the media), the new technology proved successful and allowed the exchange to cope with trading **volumes**, which until Oct. 19, 1987, were breaking records continuously.

Although SEAQ proved successful as far as **displaying** the **best quotes** and who was making them, Newman recalls, it created a new problem: The transactions still...

13/3,K/33 (Item 2 from file: 275)

DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01260299 SUPPLIER NUMBER: 07212397 (USE FORMAT 7 OR 9 FOR FULL TEXT)
To tune into the market, set your dial to RS-232. (Software Review)
(ComStock financial data base) (evaluation)

Landis, Ken

Wall Street Computer Review, v6, n3, p76(5)

Dec, 1988

DOCUMENT TYPE: evaluation

ISSN: 0738-4343

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 2294

LINE COUNT: 00171

... The suspension is kept active until the resultant impact has been assessed.

An 'f' is **displayed** when the trading **volume** is very active for short durations. In these "fast trading" periods, the **quotes** entered are on a **best** -efforts basis. 'H' indicates that there is substantial size on both the bid and ask...

13/3,K/34 (Item 3 from file: 275)

DIALOG(R)File 275:Gale Group Computer DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01154381 SUPPLIER NUMBER: 00645129 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Stagnant Disk-Drive Market Offers Up Mail-Order Bargains.

Freedman, B.

PC Week, v2, n38, p1
Sept. 24, 1985

ISSN: 0740-1604

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 689

LINE COUNT: 00055

... Schlitzkus, director of marketing for Microscience International Corp., a disk-drive manufacturer based in Mountain View, Calif.

In addition, mail-order firms **buy** in **volume**, generating discounts that further cut their costs. This oftens leads to "spot **buying**," Schlitzkus said, shopping for the **best price** without brand loyalty to a manufacturer.

When Mail Ordering . . .

Based on advice from industry analysts...

13/3,K/35 (Item 1 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01892967 Supplier Number: 43285608 (USE FORMAT 7 FOR FULLTEXT)

Seoul Stocks Close Monday With Sharp Gain

Korea Economic Daily, pN/A

Sept 7, 1992

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 109

... gainers, and all issues (95) in the financial sector saw their prices rise. Advances led **declines** 778 to 80, and 606 issues reached their daily upper limits. **Trading volume** was not big with 23.58 million shares for 298.9 billion won because relatively short **selling** orders were placed on the market.

COPYRIGHT 1992 by The Korea Economic Daily

13/3,K/36 (Item 2 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01785913 Supplier Number: 42987549 (USE FORMAT 7 FOR FULLTEXT)

Stock Market Comment (Coryo Securities Corp.)

Korea Economic Daily, pN/A

May 11, 1992

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 394

... Stock Price Index (KOSPI) fell 21.12 points from May 2 - 8 to close the **trading** period at 599.25. The daily average **trading volume**, indicating a **decline** in market activity, dropped to 24.1 million shares from 32.33 million during the...

13/3,K/37 (Item 3 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01773833 Supplier Number: 42953744 (USE FORMAT 7 FOR FULLTEXT)

STOP PRESS

Financial Technology Insight, pN/A

May, 1992
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 243

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...shown on information only screens. Each dealer will now be able to watch the live **display** of the best prices and deal by simply hitting ' **sell** ' or ' **buy** ' keys. There will be no need to contact another bank, request a price quotation, negotiate an agreed **amount** of currency, agree the deal, exchange payment instructions and then write a ticket. Price quotes...

13/3,K/38 (Item 4 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01634561 Supplier Number: 42531251 (USE FORMAT 7 FOR FULLTEXT)

Weekly Market Review

Korea Economic Daily, pN/A

Nov 18, 1991

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 345

The Seoul stock market regressed all week in diminished trading. The Korea **Composite Stock Price** Index (KOSPI) stumbled 19.01 points to close the week at 665.65. The daily average **trading volume** contracted to 12.52 million shares. By sector, losses were felt across the board textile and apparel issues. **Declines** were led by securities and beverage shares which fell 5.1 percent and 4.8...

13/3,K/39 (Item 5 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01589730 Supplier Number: 42388783 (USE FORMAT 7 FOR FULLTEXT)

OM AND EOE PLAN REALTIME TRADING LINK

Dealing With Technology, v3, n19, pN/A

Sept 27, 1991

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 655

... been designed to allow maximum use of a mouse as the input device. Windows can **display** multiple order books (including **best bid** /offer, last **trade**, high, low, **volume** and open interest figures), order-depth **displays** (which show the five **best bids** and offers for a particular option) and **trade** tickers. A market-making facility allows option pricing models to be run and the resulting...

13/3,K/40 (Item 6 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01346375 Supplier Number: 41617109 (USE FORMAT 7 FOR FULLTEXT)

Stock Market Plunges On Profit-Taking

Korea Economic Daily, pN/A
Oct 17, 1990
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 82

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...KE Dow average of 80 selected issues also plummeted 10.98 points to 640.73 **Declines** outnumbered advances by 505 to 238, while 175 remained unchanged. **Trading** was very active, with the **volume** traded totaling 20.1 million shares worth 254.9 billion won against Monday's 11...

13/3,K/41 (Item 7 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01343343 Supplier Number: 41606336 (USE FORMAT 7 FOR FULLTEXT)

Share Prices

Korea Economic Daily, pN/A
Oct 11, 1990
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 93

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...Dow average of 80 selected issues rose 4.57 to 625.64, and the broader **composite** stock **price** index went up 3.06 to 617.12. Advances led **declines** 505 to 226, with 174 remaining unchanged. **Volume** **trade** totaled 18.7 million shares worth 226.9 billion won, compared with the preceding session...

13/3,K/42 (Item 8 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01325678 Supplier Number: 41550116 (USE FORMAT 7 FOR FULLTEXT)

KAPITI ENHANCES FIST, LAUNCHES DECTRADE PORT

Dealing With Technology, v2, n17, pN/A
Sept 14, 1990
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 651

... which carries the best prices available, together with other quotes sorted into price order. Other **displays** include: the mid-price for the FT-SE 100 index; a ticker service showing latest **trades** and cumulative **volume**; news flashes from the TOPIC-based Company News Service; and price spreads for international equities...

13/3,K/43 (Item 9 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01302098 Supplier Number: 41479725 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Closé Lower

Korea Economic Daily, pN/A
August 3, 1990
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 77

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...average of 80 selected issues shed 1.60 points to 697.84, and the broader **composite price** index slid 1.93 points to 688.28. Advances led **declines** 482 to 240, with 135 remaining unchanged. **Volume** traded totaled 6.6 million shares for 99.7 billion won, compared with Wednesday's ...

13/3,K/44 (Item 10 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01285528 Supplier Number: 41427774 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Mixed Lower In Active Trading

Korea Economic Daily, pN/A
July 5, 1990
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 130

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...average of 80 selected issues plummeted 5.97 points to 749.64, and the broader **composite price** index plunged 9.74 points to 735.30. **Declines** led advances by 523 to 182, with 124 remained unchanged. **Volume** traded totaled 16 million shares for 260 billion won, compared with Tuesday's 6.8 ...

13/3,K/45 (Item 11 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01269468 Supplier Number: 41377132 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Up In 6 Straight Days

Korea Economic Daily, pN/A
June 7, 1990
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 97

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...average of 80 selected issues surged 16.51 points to 811.63, and the broader **composite stock price** index soared 9.55 points to 814.40. Advances led **declines** 648 to 75, while 84 remained unchanged. **Volume** traded totaled 17.2 million shares worth 318.1 billion won, compared with the previous...

13/3,K/46 (Item 12 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01268046 Supplier Number: 41373301 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Soare In Active Trading

Korea Economic Daily, pN/A

June 4, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 82

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...average of 80 selected issues jumped 11.53 points to 774.07, and the broader **composite price** index climbed 6.02 points to 789.89. Advances led **declines** by 540 to 97, while 163 remained unchanged. **Volume** traded totaled 12.5 million shares worth 219.3 billion won, as compared Tuesday's ...

13/3,K/47 (Item 13 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01193938 Supplier Number: 41120044 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Down In Thin Trading

Korea Economic Daily, pN/A

Jan 16, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 72

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...average of 80 selected issues plummeted 13.03 to 855.76 points, and the broader **composite price** index plunged 10.43 points to 883.04. **Trading** was thin, with **volume** traded totaling 8.85 million shares for 184.1 billion won. **Declines** led advances 578 to 90, while 100 remained unchanged.

13/3,K/48 (Item 14 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01191646 Supplier Number: 41111179 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Edge Up

Korea Economic Daily, pN/A

Jan 10, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 80

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...Dow average of 80 selected issues rose 2.25 to 892.86, and the broader **composite price** index edged up 0.75 to 920.21. Advances led **declines** 378 to 299, while 100 remained unchanged. **Volume** traded totaled 18.6 million shares for 381.8 billion won against Monday's 17...

13/3,K/49 (Item 15 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2005 The Gale Group. All rts. reserv.

01189955 Supplier Number: 41104070 (USE FORMAT 7 FOR FULLTEXT)

Share Prices Soar

Korea Economic Daily, pN/A

Jan 5, 1990

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 80

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...Dow average of 80 selected issues jumped 18.14 to 899.18, and the broader **composite price** index leaped 20.23 to 928.82. Advances outnumbered **declines** by 697 to 45, while 24 remained unchanged. **Volume** traded totaled 18.09 million shares for 405.06 billion won, compared with 6.7...

13/3,K/50 (Item 16 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01140860 Supplier Number: 40921040 (USE FORMAT 7 FOR FULLTEXT)

Transvik sets out its shop window

Dealing With Technology, v1, n11, pN/A

Sept, 1989

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 1155

... trading across the whole market whilst the second is a personal ticker. There are also **price display** windows which show **best bid** /offer **prices** plus **trading** and **volume** data. The company is currently negotiating with a number of quote vendors regarding the widespread...

13/3,K/51 (Item 17 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01129467 Supplier Number: 40880497 (USE FORMAT 7 FOR FULLTEXT)

TRANSVIK COURTS LONDON FIRMS FOR SCREEN-BASED NORDIC MARKET

Trading Systems Technology, v3, n3, pN/A

July 31, 1989

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 1022

... offer in the market. Using the mouse, the trader can indicate whether he wants to **buy** or **sell** stock, and specify the **quantity** and price at which he wants to **trade**. He then clicks the mouse on the "submit" panel, and his bid or offer is **displayed** on traders' depth windows throughout the market.

The trade is executed immediately if the trader...

13/3,K/52 (Item 18 from file: 636)

DIALOG(R)File 636:Gale Group Newsletter DB(TM)

.(c) 2005 The Gale Group. All rts. reserv.

01098313 Supplier Number: 40764962 (USE FORMAT 7 FOR FULLTEXT)

LIFFE'S APT TRADING SYSTEMS SLATED TO GO LIVE IN 1989

Trading Systems Technology, v2, n20, pN/A

April 24, 1989

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 1045

... s recently introduced Aurora, APT simulates the look of a pit, with identifiable user icons **displayed** along with their **quantity**. But traders can't choose their counterparties. Instead, APT distributes **trade volume** equally over every trader at the **best price**.

APT's systems architecture shifts the **bulk** of the processing to the workstation level. Unlike the Chicago Mercantile Exchange's proposed Globex ...

13/3,K/53 (Item 19 from file: 636)

DIALOG(R) File 636:Gale Group Newsletter DB(TM)

(c) 2005 The Gale Group. All rts. reserv.

01089168 Supplier Number: 40728967 (USE FORMAT 7 FOR FULLTEXT)

CBOT BRINGS OPEN OUTCRY TO SCREEN; CHICAGO SLUGFEST DOMINATES FIA MEETING

Trading Systems Technology, v2, n18, pN/A

March 27, 1989

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 1426

... represented by Pac Man-like icons randomly arranged in an open gray area. Each screen **display** shows the market for one contract. In the upper left hand corner of the screen, traders see the current **best bid** and offer and aggregate **quantity** for the contract market being **displayed**. On the periphery of the gray area, the Aurora screen shows **best bid** and offer and aggregate **quantity** for other contracts and spreads traded in the same pit.

The Aurora screen can **display** quotes and quantity for four additional contracts in other pits. Recent trade summaries for the...

Set	Items	Description
S1	186720	TRADES OR TRADE OR TRADING OR TRANSACT? OR BUYING OR SELLING OR BUY? ? OR SELL? ? OR PURCHASING
S2	69483	PRICE OR PRICING OR PRICES OR QUOTE? ? OR BID? ?
S3	581148	COMPOSITE? ? OR BEST OR HIGHEST
S4	1159831	VOLUM? OR QUANTIT? OR AMOUNT OR BULK
S5	1247288	DISPLAY? OR VIEW? OR OUTPUT? ? OR GUI OR INTERFACE
S6	492777	ACCEPT? OR REJECT? OR DECLINE?
S7	1824	S2(3N)S3
S8	671	S7(20N)S1
S9	339	S8(20N)(S4 OR S5)
S10	28	S9(25N)S6
S11	23	S10 AND IC=G06F-017/60

? show file

File 348:EUROPEAN PATENTS 1978-2005/Aug W04

(c) 2005 European Patent Office

File 349:PCT FULLTEXT 1979-2005/UB=20050908,UT=20050901

(c) 2005 WIPO/Univentio

all

11/3,K/1 (Item 1 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

01257507

CURRENCY-TIME LINE TRANSACTION SYSTEM
SYSTEME DE TRANSACTION A LIGNE MONNAIE/TEMPS

Patent Applicant/Inventor:

MANSTEIN Ralf, Sophienstr. 26 76530, Baden-Baden, GE, GE (Residence), GE
(Nationality)

Patent and Priority Information (Country, Number, Date):

Patent: WO 200564510 A2 20050714 (WO 0564510)

Application: WO 2004IB4422 20041222 (PCT/WO IB04004422)

Priority Application: US 2003748856 20031230

Designated States:

(All protection types applied unless otherwise stated - for applications
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC
LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO
RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU MC NL PL
PT RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 15329

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Detailed Description

Detailed Description

... price and results in time units to be purchased equal to the remaining
time; and **displaying** the final value offer as the current price and
remaining time.

[026] The system may also, iteratively, adjust the **highest** achievable
potential **price** which theoretically is the maximum price according to
fixed and coincidental **trade** characteristics. These characteristics may
be defined as any information that may be ascertained by seller input, a
value offer and/or the **acceptance** of a value offer. This may include:
seller input relating to the
minimum price, maximum...

11/3,K/2 (Item 2 from file: 349)
DIALOG(R) File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

01018909 **Image available**

METHOD AND SYSTEM FOR MANAGING DISTRIBUTED TRADING DATA
PROCEDE ET SYSTEME DE GESTION DE DONNEES COMMERCIALES DISTRIBUEES

Patent Applicant/Assignee:

E-XCHANGE ADVANTAGE INC, 51 East 42nd Street, Suite 602, New York, NY
10017, US, US (Residence), US (Nationality), (For all designated states
except: US)

Inventor(s):

FEDERSPIEL Fred J, 12 Gerloch Place, Larchmont, NY 10538, US,
WAELEBROECK Henri, 38A Claremont, Scarsdale, NY 10583, US,
LOPEZ John E, 25 Burgandy Lane, Nesconset, NY 11767, US,

Legal Representative:

MORRIS Francis E (et al) (agent), Pennie & Edmonds LLP, 1155 Avenue of
the Americas, New York, NY 10538, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200348905 A2-A3 20030612 (WO 0348905)

Application: WO 2002US38945 20021205 (PCT/WO US02038945)

Priority Application: US 2001336775 20011205; US 2002400467 20020801

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

JP SG

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SI SK
TR

Publication Language: English

Filing Language: English

Fulltext Word Count: 47409

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... be filled, discouraging the use of the system for reasons other than
to execute legitimate **trading** interests. In another example, the price
can be up to 5 cents below the National **Best Bid** for a **buy** order,
or 5 cents above the National Best Offer for a **sell**. In yet another
example there are no conditions on **quantity** or on the time in force and
the price condition is the only requirement on the first participant's
order. In another example, the system **accepts** all orders with any time
in force value and simply holds cancel requests or order...

11/3,K/3 (Item 3 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

01010895 **Image available**

AUTOMATED TRADING OF FINANCIAL INTERESTS

ECHANGE AUTOMATISE D'INTERETS FINANCIERS

Patent Applicant/Assignee:

BLOOMBERG L P, 499 Park Avenue, New York, NY 10022, US, US (Residence),
US (Nationality)

Inventor(s):

FOLEY Kevin, 30 River Road, Apartment 1A, New York, NY 10044, US,

Legal Representative:

MARQUARDT Matthew J (agent), Brown Raysman Millstein Felder & Steiner
LLP, 990 Third Avenue, New York, NY 10022, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200340983 A1 20030515 (WO 0340983)

Application: WO 2002US35589 20021107 (PCT/WO US0235589)

Priority Application: US 2001348161 20011107; US 2002400617 20020802

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI

SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 9364

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... for example the National Association of Securities Dealers (NASD) that the system gives precedence to **volume** trades, and

6

that in order to **trade** large volumes parties using the system are willing to **accept** something other than the **best** market **price** in their proposals, can be used to satisfy such requirements.

[0024] Prices associated with proposals...

11/3,K/4 (Item 4 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00970386

METHOD AND APPARATUS FOR BUNDLING TRANSMISSION RIGHTS AND ENERGY FOR TRADING

PROCEDE ET APPAREIL POUR LA COMPILATION DE DROITS DE TRANSMISSION ET SUR DE PRODUITS ENERGETIQUES POUR DES TRANSACTIONS COMMERCIALES

Patent Applicant/Assignee:

AUTOMATED POWER EXCHANGE INC, Suite 522, 5201 Great America Parkway,
Santa Clara, CA 95054, US, US (Residence), US (Nationality)

Inventor(s):

SAMUELSON Ralph, 938 Clark Avenue, Unit 4, Mountain View, CA 94040, US,

Legal Representative:

GLENN Michael (et al) (agent), Glenn Patent Group, 3475 Edison Way, Suite
L., Menlo Park, CA 94025, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 2002103465 A2-A3 20021227 (WO 02103465)

Application: WO 2002US15719 20020515 (PCT/WO US2002015719)

Priority Application: US 2001291218 20010515; US 2001932694 20010816; US
2002146511 20020514

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA
UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 73457

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Detailed Description

... of the Market or Bilateral Sell Order in
Megawatts
11. V'Source Quantity" - The Quantity of the Asset Transfer Source
Order in
Megawatts
12. # "Buy Quantity " - The Order Quantity of the Market or Bilateral
Buy Order in
Megawatts
13. # "Sink Quantity " - The Order Quantity of the Asset Transfer Sink
Order in
Megawatts
14. # "Limit Price " - For Bids , the Highest Price the Buyer is
Willing to Pay; for Offers, the Lowest Price the Seller is Willing to
Accept (Should Say "Market"
for

11/3,K/5 (Item 5 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00937178 **Image available**

**CYBER TRADING SERVICE DEVICE AND METHOD FOR ANALYZING BUY QUANTITY
DISPOSITIF DE SERVICES DE COMMERCE ELECTRONIQUE ET PROCEDE D'ANALYSE DE
TITRES ACHETES**

Patent Applicant/Inventor:

YANG Yong-Cheol, 201, 115-10, Bangi2-dong, Songpa-ku, 138-052 Seoul, KR,
KR (Residence), KR (Nationality)

Legal Representative:

YOU ME PATENT & LAW FIRM (agent), 825-33 Teheran Bldg., Yoksamdong,
Kangnam-ku, 135-080 Seoul, KR,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200271297 A1 20020912 (WO 0271297)

Application: WO 2002KR406 20020308 (PCT/WO KR0200406)

Priority Application: KR 200112117 20010308; KR 200153959 20010903; KR
20023317 20020121

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KZ LC LK LR LS
LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK
SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11943

Main International Patent Class: G06F-017/60
Fulltext Availability:
Detailed Description

Claims

Detailed Description

... correctly and quickly decide the buy price.

FIGs. 17(a) to 17(k) show exemplified **quantity** lists calculated by the **quantity** analysis system 100 or the cyber **trading** system 200. In regard to all the stock prices (the stock **prices** from the **highest** to lowest limits, the ADRs, and the advance- **decline** depths) in a day, the user can obtain core information (earning rate, profit and loss...

Claim

... a buy price calculation program for using the account information's previously deposited money **amount** to calculate the **buy** price's **volume** list;
and
a **quantity** calculation program for calculating stock prices to which the nominal **prices** of from the **highest** limit to the lowest limit of the corresponding issue are applied, advance- **decline** ratios (ADR) and advancedecline depth lists, buyable **quantity** for each stock price according to the buy price, actual buy price, commission, commission rate
...

...the quantity calculation program storage unit comprises:
a buy price calculation program for using the **amount** of previously deposited money of account information to calculate the **buy** price's **volume** list;
a **quantity** calculation program for calculating stock prices to which the nominal **prices** of from the **highest** limit to the lowest limit of the corresponding issue are applied, advance- **decline** ratios (ADR) and advancedecline depth lists, buyable **quantity** for each stock price according to the buy price, actual buy price, commission, commission rate
...

11/3,K/6 (Item 6 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00839979 **Image available**

METHOD AND DEVICE FOR PROVIDING CONTINUOUS AUCTIONS OVER A COMMUNICATIONS NETWORK

PROCEDE ET DISPOSITIF PERMETTANT DES ENCHERES CONTINUES SUR UN RESEAU DE TELECOMMUNICATIONS

Patent Applicant/Assignee:

MARKETBOY INC, Suite 1503, 488 Madison Avenue, New York, NY 10022, US, US
(Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

WIERZYNSKI Casimir, 147 East 37th Street, New York, NY 10016, US, US
(Residence), US (Nationality), (Designated only for: US)

Legal Representative:

GEHRIS William C (agent), Davidson, Davidson & Kappel, LLC, 14th Floor,
485 Seventh Avenue, New York, NY 10018, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200173665 A1 20011004 (WO 0173665)
Application: WO 2001US9888 20010328 (PCT/WO US0109888)
Priority Application: US 2000192843 20000329; US 2000606647 20000629
Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 9180

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... on various characteristics.

As with the offers which actual buyers can accept, actual sellers can **accept** bids from the potential buyers, preferably by clicking on a bid. The market browser or standard browser may provide a side-by-side **display** of offer and bid streams. Preferably, the **best bid** (**highest price**) and the **best** offer I 0 (lowest price) are arranged at the top of the **display** , with the most recent completed **transaction** also being shown.

The present invention can also provide a bid/offer ticker for different
...

11/3,K/7 (Item 7 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00806392

TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A
NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF
PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE
DANS UN ENVIRONNEMENT DU TYPE CHAINE D'APPROVISIONNEMENT RESEAUTE, ET
PROCEDE ASSOCIE

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

MIKURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 38th Floor,
2029 Century Park East, Los Angeles, CA 90067-3024, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139086 A2 20010531 (WO 0139086)

Application: WO 2000US32310 20001122 (PCT/WO US0032310)

Priority Application: US 99444653 19991122; US 99447623 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ
UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 156214

Main International Patent Class: G06F-017/60

11/3,K/8 (Item 8 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00806384

NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND METHOD THEREOF

GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

MIKURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 38th Floor,
2029 Century Park East, Los Angeles, CA 90067-3024, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139030 A2 20010531 (WO 0139030)

Application: WO 2000US32324 20001122 (PCT/WO US0032324)

Priority Application: US 99444775 19991122; US 99447621 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CU CZ DE DK DZ EE ES FI GB
GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK
MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN
YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 171499

Main International Patent Class: G06F-017/60

11/3,K/9 (Item 9 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00806383

COLLABORATIVE CAPACITY PLANNING AND REVERSE INVENTORY MANAGEMENT DURING
DEMAND AND SUPPLY PLANNING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT
AND METHOD THEREOF

PLANIFICATION EN COLLABORATION DES CAPACITES ET GESTION ANTICIPEE DES
STOCKS LORS DE LA PLANIFICATION DE L'OFFRE ET DE LA DEMANDE DANS UN
ENVIRONNEMENT DE CHAINE D'APPROVISIONNEMENT FONDEE SUR LE RESEAU ET
PROCEDE ASSOCIE

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

MIKURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 1400 Page Mill
Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139029 A2 20010531 (WO 0139029)

Application: WO 2000US32309 20001122 (PCT/WO US0032309)

Priority Application: US 99444655 19991122; US 99444886 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ
UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 157840

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... 10-year treasuries).

The following terms are used with the associated definition.

TABLE65A

Bid Dollar **amount** offered to **buy** a security - issue.

Offer Dollar **amount** offered to **sell** a security - issue.

Spread Difference between **best bid** (s) and offer(s) on market.

Issue A common class of fixed rate treasuries.

Hit **Accepting** a pending bid.

Lift **Accepting** a pending offer.

Size The volume in dollars of a particular Bid/Offer.

Makers Customers...

11/3,K/10 (Item 10 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00806382

**METHOD FOR AFFORDING A MARKET SPACE INTERFACE BETWEEN A PLURALITY OF
MANUFACTURERS AND SERVICE PROVIDERS AND INSTALLATION MANAGEMENT VIA A
MARKET SPACE INTERFACE**

**PROCEDE DE MISE A DISPOSITION D'UNE INTERFACE D'ESPACE DE MARCHÉ ENTRE UNE
PLURALITE DE FABRICANTS ET DES FOURNISSEURS DE SERVICES ET GESTION
D'UNE INSTALLATION VIA UNE INTERFACE D'ESPACE DE MARCHÉ**

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US
(Residence), US (Nationality)

Inventor(s):

MIKURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (et al) (agent), Oppenheimer Wolff & Donnelly LLP, 1400
Page Mill Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139028 A2 20010531 (WO 0139028)

Application: WO 2000US32308 20001122 (PCT/WO US0032308)

Priority Application: US 99444773 19991122; US 99444798 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV
MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT
TZ UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 170977

Main International Patent Class: G06F-017/60

11/3,K/11 (Item 11 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00803563 **Image available**

**METHOD AND SYSTEM FOR EXECUTING FINANCIAL TRANSACTIONS VIA A COMMUNICATION
MEDIUM**

**PROCEDE ET SYSTEME D'EXECUTION DE TRANSACTIONS FINANCIERES VIA UN MOYEN DE
COMMUNICATION**

Patent Applicant/Assignee:

01 INC, -, KY, -- (Residence), -- (Nationality)

Patent Applicant/Inventor:

LEE Andre S, 118-2, Chungdam-dong, Kangnam-ku, Seoul, KR, KR (Residence),
US (Nationality)

Legal Representative:

DRIVAS Dimitrios T (et al) (agent), White & Case LLP, Patent Dept., 1155
Avenue of the Americas, New York, NY 10036, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200137116 A2 20010525 (WO 0137116)

Application: WO 2000US31272 20001114 (PCT/WO US0031272)
Priority Application: US 99166112 19991116; US 2000176410 20000113; US
2000182998 20000216

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 22086

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... bidders review the trade ticket details, the counter-party's identity and decide whether to **accept** or **reject** the trade.

Counter-parties could take up to one business day to decide whether to complete the trade, after running credit checks or **trading** limit checks if required. Determination of winning bids is done as follows: all bids are sorted in descending order of **price** and the **highest bids** are filled to the extent of the bid amounts. When the **amount** on Auction is not enough to fill the last winning bid, only a partial fill...

11/3,K/12 (Item 12 from file: 349)

DIALOG(R) File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00801763 **Image available**

APPARATUS FOR NEGOTIATION

APPAREIL DE NEGOCIATION

Patent Applicant/Assignee:

BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY, 81 Newgate Street,
London EC1A 7AJ, GB, GB (Residence), GB (Nationality), (For all
designated states except: US)

Patent Applicant/Inventor:

KEARNEY Paul Joseph, The Coach House, Northcliffe Court, Felixstowe,
Suffolk IP11 7UA, GB, GB (Residence), GB (Nationality), (Designated
only for: US)

Legal Representative:

DUTTON Erica Lindley (agent), BT Group Legal Services, Intellectual
Property Dept., Holborn Centre, 8th floor, 120 Holborn, London EC1N 2TE
, GB,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200135284 A1 20010517 (WO 0135284)

Application: WO 2000GB4293 20001108 (PCT/WO GB0004293)

Priority Application: EP 99308868 19991108

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AU CA US

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
Publication Language: English
Filing Language: English
Fulltext Word Count: 15896

Main International Patent Class: **G06F-017/60**

Fulltext Availability:

Detailed Description

Detailed Description

... fields are: buyBid and sel[Bid, which hold the bids. The main operations provided are.

transactionPoint (time): Provided both bids are valid at the given time, this returns the ' **best** ' **price - amount** point within the **acceptable** region of both bids, or else an indication that no **acceptable** point exists. In the reference implementation, this is the point corresponding to the maximum acceptable...

11/3,K/13 (Item 13 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00799890 **Image available**

SYSTEM AND METHOD FOR CONDUCTING WEB-BASED FINANCIAL TRANSACTIONS IN CAPITAL MARKETS

SYSTEME ET PROCEDE PERMETTANT D'OPERER DES TRANSACTIONS FINANCIERES VIA L'INTERNET SUR LE MARCHE FINANCIER

Patent Applicant/Assignee:

INTEGRAL DEVELOPMENT CORPORATION, 156 University Avenue, Palo Alto, CA 94301, US, US (Residence), US (Nationality), (For all designated states except: US)

Patent Applicant/Inventor:

SANDHU Harpal, 669 Waverly Place, Palo Alto, CA 94301, US, US (Residence), US (Nationality), (Designated only for: US)

TOLAT Viral V, 2148 Harkins Avenue, Menlo Park, CA 94025, US, US (Residence), US (Nationality), (Designated only for: US)

Legal Representative:

CHOU Chien-Wei (Chris) (et al) (agent), Oppenheimer Wolff & Donnelly LLP, 1400 Page Mill Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200133462 A1 20010510 (WO 0133462)

Application: WO 2000US30076 20001031 (PCT/WO US0030076)

Priority Application: US 99162873 19991101

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 52016

Main International Patent Class: G06F-017/60

Fulltext Availability:

Claims

Claim

... the form of a product matrix (including product FX Spot), price or rate, currency, Provider, **transaction** limits, expiration date/time), may also include a filter to **display** only the " **best** " **price** for a particular type of financial **transaction** .

191

Upon review such price quotes in the aggregated, real-time display, Members may: (i) **accept** a quote, as is, and execute a transaction; (ii) **accept** an "

11/3,K/14 (Item 14 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00761432

METHODS, CONCEPTS AND TECHNOLOGY FOR DYNAMIC COMPARISON OF PRODUCT FEATURES AND CUSTOMER PROFILE

PROCEDES, CONCEPTS ET TECHNIQUE DE COMPARAISON DYNAMIQUE DE CARACTERISTIQUES D'UN PRODUIT ET DU PROFIL DES CONSOMMATEURS

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073958 A2 20001207 (WO 0073958)

Application: WO 2000US14459 20000524 (PCT/WO US0014459)

Priority Application: US 99320818 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR
TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 151011

Main International Patent Class: G06F-017/60

11/3,K/15 (Item 15 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00761431

**A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PROVIDING COMMERCE-RELATED
WEB APPLICATION SERVICES**

**SYSTEME, PROCEDE ET ARTICLE MANUFACTURE DESTINES A LA FOURNITURE DE
SERVICES D'APPLICATION DANS LE WEB LIES AU COMMERCE**

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073957 A2-A3 20001207 (WO 0073957)

Application: WO 2000US14420 20000525 (PCT/WO US0014420)

Priority Application: US 99321492 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY CA CH CN CR CU CZ
CZ (utility model) DE DE (utility model) DK DK (utility model) DM DZ EE
EE (utility model) ES FI FI (utility model) GB GD GE GH GM HR HU ID IL IN
IS JP KE KG KP KR KR (utility model) KZ LC LK LR LS LT LU LV MA MD MG MK
MN.MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK (utility model) SL TJ TM
TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 150171

International Patent Class: G06F-017/60 ...

11/3,K/16 (Item 16 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00761430 **Image available**

**SYSTEM, METHOD AND COMPUTER PROGRAM FOR REPRESENTING PRIORITY INFORMATION
CONCERNING COMPONENTS OF A SYSTEM**

**SYSTEME, METHODE ET ARTICLE FABRIQUE PERMETTANT DE CLASSER PAR ORDRE DE
PRIORITE DES COMPOSANTS D'UNE STRUCTURE DE RESEAU NECESSAIRES A LA MISE
EN OEUVRE D'UNE TECHNIQUE**

Patent Applicant/Assignee:

ANDERSEN CONSULTING LLP, 100 South Wacker Drive, Chicago, IL 60606, US,
US (Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073956 A2-A3 20001207 (WO 0073956)
Application: WO 2000US14406 20000524 (PCT/WO US0014406)
Priority Application: US 99321274 19990527
Designated States:
(Protection type is "patent" unless otherwise stated - for applications :
prior to 2004)

AE AG AL AM AT (utility model) AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ
(utility model) CZ DE (utility model) DE DK (utility model) DK DM DZ EE
(utility model) EE ES FI (utility model) FI GB GD GE GH GM HR HU ID IL IN
IS JP KE KG KP KR (utility model) KR KZ LC LK LR LS LT LU LV MA MD MG MK
MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK (utility model) SK SL TJ TM
TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English
Fulltext Word Count: 149024

Main International Patent Class: G06F-017/60

11/3,K/17 (Item 17 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00761429

**METHODS, CONCEPTS AND TECHNOLOGY FOR A VIRTUAL SHOPPING SYSTEM CAPABLE OF
ASSESSING NEEDS OF A CUSTOMER AND RECOMMENDING A PRODUCT OR SERVICE
BASED ON SUCH ASSESSED NEEDS**
**PROCEDES, CONCEPTS ET TECHNOLOGIE POUR SYSTEME D'ACHAT VIRTUEL CAPABLE
D'EVALUER LES BESOINS D'UN CLIENT ET DE RECOMMANDER UN PRODUIT OU UN
SERVICE SUR LA BASE DE CES BESOINS**

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073955 A2 20001207 (WO 0073955)
Application: WO 2000US14357 20000524 (PCT/WO US0014357)
Priority Application: US 99321495 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR
TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English
Filing Language: English

Fulltext Word Count: 148469

Main International Patent Class: G06F-017/60

11/3,K/18 (Item 18 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00761424

A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PHASE DELIVERY OF COMPONENTS OF A SYSTEM REQUIRED FOR IMPLEMENTATION OF TECHNOLOGY
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE DESTINES A LA FOURNITURE PAR PHASES DE COMPOSANTS D'UN SYSTEME NECESSAIRES A L'APPLICATION D'UNE TECHNIQUE

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073930 A2 20001207 (WO 0073930)
Application: WO 2000US14458 20000524 (PCT/WO US0014458)
Priority Application: US 99321360 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY CA CH CN CR CU CZ
CZ (utility model) DE DE (utility model) DK DK (utility model) DM DZ EE
EE (utility model) ES FI FI (utility model) GB GD GE GH GM HR HU ID IL IN
IS JP KE KG KP KR KR (utility model) KZ LC LK LR LS LT LU LV MA MD MG MK
MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK (utility model) SL TJ TM
TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 149456

Main International Patent Class: G06F-017/60

11/3,K/19 (Item 19 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00761423

A SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR EFFECTIVELY CONVEYING WHICH COMPONENTS OF A SYSTEM ARE REQUIRED FOR IMPLEMENTATION OF TECHNOLOGY

SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR L'ACHEMINEMENT EFFICACE DES COMPOSANTS D'UN SYSTEME NECESSAIRES A LA MISE EN PRATIQUE D'UNE TECHNOLOGIE

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073929 A2 20001207 (WO 0073929)
Application: WO 2000US14457 20000524 (PCT/WO US0014457)
Priority Application: US 99321136 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AT (utility model) AU AZ BA BB BG BR BY CA CH CN CR CU CZ
CZ (utility model) DE DE (utility model) DK DK (utility model) DM DZ EE
EE (utility model) ES FI FI (utility model) GB GD GE GH GM HR HU ID IL IN
IS JP KE KG KP KR KR (utility model) KZ LC LK LR LS LT LU LV MA MD MG MK
MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SK (utility model) SL TJ TM
TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 150133

Main International Patent Class: G06F-017/60

11/3,K/20 (Item 20 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00761422

BUSINESS ALLIANCE IDENTIFICATION

**SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION POUR L'IDENTIFICATION D'ALLIANCES
COMMERCIALES DANS UN CADRE D'ARCHITECTURE RESEAU**

Patent Applicant/Assignee:

ACCENTURE LLP, 100 South Wacker Drive, Chicago, IL 60606, US, US
(Residence), US (Nationality)

Inventor(s):

GUHEEN Michael F, 2218 Mar East Street, Tiburon, CA 94920, US,
MITCHELL James D, 3004 Alma, Manhattan Beach, CA 90266, US,
BARRESE James J, 757 Pine Avenue, San Jose, CA 95125, US,

Legal Representative:

BRUESS Steven C (agent), Merchant, Gould, Smith, Edell, Welter & Schmidt,
P.A., P.O. Box 2903, Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073928 A2-A3 20001207 (WO 0073928)
Application: WO 2000US14375 20000524 (PCT/WO US0014375)
Priority Application: US 99320816 19990527

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR

TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 149371

Main International Patent Class: G06F-017/60

11/3,K/21 (Item 21 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00753792 **Image available**

SYSTEMS AND METHODS FOR TRADING

SYSTEME ET PROCEDES COMMERCIAUX

Patent Applicant/Assignee:

CFPH L L C, One World Trade Center, New York, NY 10048, US, US

(Residence), US (Nationality)

Inventor(s):

FRASER Stuart A, 18 Maple Way, Armonk, NY 10504, US

GILBERT Andrew C, 5 Scarlet Oak, Califon, NJ 07830, US

GINSBERG Philip M, 25 Broad Street, Penthouse C, New York, NY 10004, US

KIRWIN Glenn D, 55 Fayette Road, Scarsdale, NY 10583, US

LUTNICK Howard W, 200 E. 69th Street, Penthouse B, New York, NY 10021, US

WILLIAMS Michael E, Sand Spring Road, Morristown, NJ 07960, US

Legal Representative:

ROGERS Laurence S (et al) (agent), Fish [entity:amp] Neave, 1251 Avenue
of the Americas, New York, NY 10020, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200067172 A2 20001109 (WO 0067172)

Application: WO 2000US11374 20000427 (PCT/WO US0011374)

Priority Application: US 99131992 19990430; US 2000553423 20000419

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES

FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU

LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 18401

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... also be a participant.

Still, other arrangements are also possible.

Bid - Dollar or foreign currency amount

proposed to buy a currency.

Offer - Dollar or foreign currency amount
proposed to **sell** a currency.

Spread - Difference between the **best bid** and
the **best** offer in a market.

Hit - **Accepting** a pending bid.

Take or Lift - **Accepting** a pending offer.

Size - The **volume** in dollars or foreign
currency of a particular bid, offer, ...is showing intent to trade more.
This situation
leads the way to a price improvement **trade** between
aggressor(s) and passive participants.

Price Improvement Hit - An **accepted sell**
order at or below the current **best bid** to **sell** a
currency initially for more **volume** than shown on the
Passive Side.

Price Improvement Take - An **accepted buy**
order at or above the current best offer to buy a
currency initially for more **volume** than shown on the
Passive Side.

Currency Swap - The exchange of one currency
for another...

11/3,K/22 (Item 22 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2005 WIPO/Univentio. All rts. reserv.

00574720 **Image available**

AUTOMATED PRICE IMPROVEMENT PROTOCOL PROCESSOR
PROCESSEUR POUR PROTOCOLE D'AMELIORATION AUTOMATISEE DE LA FIXATION DES
PRIX

Patent Applicant/Assignee:

CANTOR FITZGERALD SECURITIES,

Inventor(s):

LUTNICK Howard,
FRASER Stuart A,
PAUL Bijoy,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200038093 A1 20000629 (WO 0038093)

Application: WO 99US26154 19991105 (PCT/WO US9926154)

Priority Application: US 98216464 19981218

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AL AU AZ BA BB BG BR CA CN CU CZ EE GD GE HR HU ID IL IN IS JP KP KR
KZ LC LK LR LS LT LV MG MK MN MX NO NZ PL RO SG SI SK TR TT UA UZ VN YU
ZA GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH
CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN GW
ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 16593

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description :

... by a
trading action during which
the first best bidder/offerer
has the opportunity to trade
more
Price Improvement Hit An accepted sell order at
and/or below the current best
Bid to sell a security
issue initially for more
volume than shown on the
Passive Side
Price Improvement Take An accepted buy order at
and/or above the current best
Offer to buy a security
issue initially for more
volume than shown on the
Passive Side
Trade A string of transactions at
one or more...

11/3,K/23 (Item 23 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

(c) 2005 WIPO/Univentio. All rts. reserv.

00435899 **Image available**

AUTOMATED AUCTION PROTOCOL PROCESSOR

SYSTEME DE TRAITEMENT AUTOMATISE A PROTOCOLE D'ADJUDICATION

Patent Applicant/Assignee:

CANTOR FITZGERALD SECURITIES,

Inventor(s):

FRASER Stuart A,

LUTNICK Howard,

PAUL Bijoy,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9826363 A1 19980618

Application: WO 97US22423 19971203 (PCT/WO US9722423)

Priority Application: US 96766733 19961213

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AU AZ BA BB BG BR CA CN CU CZ EE GE HU IL IS JP KP KR LC LK LR LS LT
LV MG MK MN MX NO NZ PL RO SG SI SK SL TR TT UA UZ VN YU GH KE LS MW SD
SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB GR IE IT
LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 9952

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... treasuries)

The following terms, and their associated definition, are used herein:

TABLE 2

Bid Dollar amount offered to **buy** a security - issue. Offer

Dollar amount offered to **sell** a security - issue. Spread

Difference between **best** bid (s) and offer(s) on market. Issue

A common class of fixed rate treasuries.

Hit **Accepting** a pending bid

Lift **Accepting** a pending offer

Size The volume in dollars of a particular Bid/Offer

Makers Customers

?

Set	Items	Description
S1	365751	COMPOSITE? ? OR BEST OR HIGHEST
S2	1772184	VOLUM? OR QUANTIT? OR AMOUNT OR BULK
S3	4757843	DISPLAY? OR VIEW? OR OUTPUT? ? OR GUI OR INTERFACE
S4	202602	ACCEPT? OR REJECT? OR DECLINE?
S5	84285	TRADES OR TRADE OR TRADING OR TRANSACT? OR BUYING OR SELLI- NG OR BUY? ? OR SELL? ? OR PURCHASING
S6	41144	PRICE OR PRICING OR PRICES OR QUOTE? ? OR BID? ?
S7	469	S1(3N)S6
S8	10688	S3(15N)S5
S9	24	S7-AND S8
S10	18	S9 AND IC=G06F-017/60

? show file

File 347:JAPIO Nov 1976-2005/Apr(Updated 050801)

(c) 2005 JPO & JAPIO

File 350:Derwent WPIX 1963-2005/UD,UM &UP=200557

(c) 2005 Thomson Derwent

all

10/5/1 (Item 1 from file: 347)
DIALOG(R) File 347:JAPIO
(c) 2005 JPO & JAPIO. All rts. reserv.

07536649 **Image available**
AUTOMOBILE SELLING SYSTEM

PUB. NO.: 2003-030484 [JP 2003030484 A]
PUBLISHED: January 31, 2003 (20030131)
INVENTOR(s): NAKAGAWA CHIKAYOSHI
APPLICANT(s): NIHEI HIROSHI
APPL. NO.: 2001-220506 [JP 2001220506]
FILED: July 19, 2001 (20010719)
INTL CLASS: G06F-017/60

ABSTRACT

PROBLEM TO BE SOLVED: To solve such a problem that selling of an automobile takes time and an assessed value is unclear from an aspect of a selling applicant since a selling applicant has to select a buying dealer by checking respective dealers, etc., at no less than several places in order to sell his/her automobile at a high price and assessed values are mutually different depending on buying dealers.

SOLUTION: An automobile selling system characterized in that it is provided with an input means for inputting automobile inspection certificate data, customer data and other various kinds of pieces of data kept from the selling applicant to a computer, a means for retrieving auction book data, etc., incorporated previously by an automatic retrieving system of the computer, a transmission means for selecting an auction place where the automobile is predicted to be sold at a **highest price** from a plurality of auction places as the result of retrieval by the computer and transmitting data to the auction place of video **display**, and a means for input and **output** for payment of a **selling price** from the auction place after agreement, thereby, an administration headquarter never takes middle margin so as to sell the automobile at a high price for the selling applicant.

COPYRIGHT: (C)2003,JPO

10/5/2 (Item 2 from file: 347)
DIALOG(R) File 347:JAPIO
(c) 2005 JPO & JAPIO. All rts. reserv.

05132050 **Image available**
GRAPH DATA EDITING METHOD AND INFORMATION DISPLAY TERMINAL EQUIPMENT

PUB. NO.: 08-087550 [JP 8087550 A]
PUBLISHED: April 02, 1996 (19960402)
INVENTOR(s): NUNOSAWA REIZO
YOKOYAMA SUSUMU
TAKAHASHI NOBUHIRO
NAKAMURA KAZUMASA
APPLICANT(s): KOKUSAI ELECTRIC CO LTD [000112] (A Japanese Company or Corporation), JP (Japan)
APPL. NO.: 06-246772 [JP 94246772]
FILED: September 16, 1994 (19940916)
INTL CLASS: [6] G06F-017/60 ; G06T-011/20; G06F-017/40
JAPIO CLASS: 45.4 (INFORMATION PROCESSING -- Computer Applications); 45.9 (INFORMATION PROCESSING -- Other)

ABSTRACT

PURPOSE: To provide a graph data editing method and an information display terminal equipment which can set each display scale in response to the price change of a desired name to be **displayed** and also to the **buying / selling** amount and can **display** in a graph the changes of the stock price and the **buying / selling** amount in an easy-to-understand way and with effective use of the graph **display** area.

CONSTITUTION: A data editing means 23e specifies the **highest price** title, the center price title and the lowest price title based on the **highest** and lowest **prices** of the daily data on plural days. Then the means 23e edits the daily chart graph data of bar graph in a scale that defines the **highest** and lowest **price** titles as the **highest** and lowest **prices** of the graph **display** and also specifies the **buying / selling** amount title based on the largest **buying / selling** amount of the daily data on plural days. Thus the daily bar graph data are edited for the buying/selling amount in a scale that defines the **buying / selling** amount title as the largest value of the graph **display**.

10/5/3 (Item 1 from file: 350)0

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

017134088 **Image available**

WPI Acc No: 2005-458433/200546

Related WPI Acc No: 2005-458431; 2005-458432

XRPX Acc No: N05-372818

Computer system for trading asset e.g. cash, books order using customer order size and order price equal to quoted price represented by price selector, when input device is activated to select price selector in trading panel

Patent Assignee: PENNEY N (PENN-I); WEISBERG P (WEIS-I); FX ALLIANCE LLC (FXAL-N)

Inventor: PENNEY N; WEISBERG P

Number of Countries: 108 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200555004	A2	20050616	WO 2004US39655	A	20041126	200546 B
US 20050137962	A1	20050623	US 2003524841	P	20031126	200546
			US 2004547770	P	20040227	
			US 2004581762	P	20040623	
			US 2004996613	A	20041126	

Priority Applications (No Type Date): US 2004581762 P 20040623; US

2003524841 P 20031126; US 2004547770 P 20040227; US 2004996613 A 20041126

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200555004 A2 E 81 G06F-000/00

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW

Designated States (Regional): AT BE BG BW CH CY CZ DE DK EA EE ES FI FR GB GH GM GR HU IE IS IT KE LS LU MC MW MZ NA NL OA PL PT RO SD SE SI SK SL SZ TR TZ UG ZM ZW

US 20050137962 A1 G06F-017/60 Provisional application US 2003524841

Abstract (Basic): WO 200555004 A2

NOVELTY - A user **interface displays** an input field for receiving order size from customer, and a **trading** panel comprising price and size selectors representing quoted prices and sizes in the quotes respectively. When an input device is activated to select a price selector in trading panel, an order processor books the order using the customer order size and an order price equal to the quoted price represented by the price selector.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for computerized asset trading method.

USE - For trading assets such as cash, securities, merchandise, precious metals and foreign exchange instruments, using internet.

ADVANTAGE - Generates and displays easy-to-use, context-sensitive and meaningfully organized panels or windows containing visibly distinctive, user-activatable selectors and input controls the customer can manipulate to select and execute trades against the **best** available **quotes** as they are supplied by multiple providers.

DESCRIPTION OF DRAWING(S) - The figure shows a block diagram of the online trading system.

pp; 81 DwgNo 1/11

Title Terms: COMPUTER; SYSTEM; TRADE; CASH; BOOK; ORDER; CUSTOMER; ORDER; SIZE; ORDER; PRICE; EQUAL; PRICE; REPRESENT; PRICE; SELECT; INPUT; DEVICE ; ACTIVATE; SELECT; PRICE; SELECT; TRADE; PANEL

Derwent Class: T01

International Patent Class (Main): G06F-000/00; **G06F-017/60**

File Segment: EPI

10/5/4 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

016950664 **Image available**

WPI Acc No: 2005-274973/200529

XRPX Acc No: N05-225757

Computer trading system for trading stocks/shares, includes interface generation module for indicating database order compatibility with reference order by generating random number for calculated weighting value for each difference value

Patent Assignee: DUVACY LTD (DUVA-N)

Inventor: HOOPER M; SEMARK R M; TAYLOR I

Number of Countries: 033 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
GB 2406923	A	20050413	GB 200323695	A	20031009	200529 B
EP 1522946	A1	20050413	EP 2004256246	A	20041008	200529

Priority Applications (No Type Date): GB 200323695 A 20031009

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
-----------	------	--------	----------	--------------

GB 2406923	A	109	G06F-017/60	
------------	---	-----	-------------	--

EP 1522946	A1 E		G06F-017/60	
------------	------	--	-------------	--

Designated States (Regional): AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IT LI LT LU LV MC MK NL PL PT RO SE SI SK TR

Abstract (Basic): GB 2406923 A

NOVELTY - The **trading** platform includes an **interface** generation

module (IGM) for generating and outputting data indicative of the extent to which orders defined by order data stored in the order database is compatible with matching to a reference order, where the IGM is arranged to generate the data by calculating difference data indicative of the extent parameter values of orders defined by order data in the order database are compatible with matching to a reference order and further processing the calculated difference data to derive data indicative of the extent of the compatibility which does not indicate the parameter values of the stored order data. The system also includes a means for calculating a weighting value for each of the calculated difference values, which is operable to generate for each weighting value a random number where the same random number is generated for determining a weighting value from a difference value determined on the basis of the difference between the same order record and the same reference order.

DETAILED DESCRIPTION - The trading platform computer (8) is arranged to receive order data from the buy computers (1,2) and the seller computers (4,5). An order processing system (14) provided on the **trading** platform computer (8) processes the received data to generate **output** data indicative of the orders received by the order processing system (14) but which is

processed so as to obscure the detail of received orders.

INDEPENDENT CLAIMS are also included for the following:

- (1) A computer network.
- (2) An order processing apparatus.
- (3) A method of processing orders for instruments.
- (4) A computer program.

USE - Computer trading system for trading equities such as stocks and shares, bonds and derivatives.

ADVANTAGE - Provides a computer trading system, which enables users to submit large orders and make information about such large orders available to other users without that information being in a form which will

enable the other users to manipulate prices. The system fulfills the contradictory aims of enabling asset managers to reward brokers for services they provide but which enables individual orders to be matched at the **best price**.

DESCRIPTION OF DRAWING(S) - The drawing is a schematic block diagram of a computer network trading system.

Buy computers (1,2)

Seller computers (4,5)

Trading platform computer (8)

Order processing system (14)

pp; 109 DwgNo 1/18

Title Terms: COMPUTER; TRADE; SYSTEM; TRADE; STOCK; SHARE; INTERFACE;
GENERATE; MODULE; INDICATE; DATABASE; ORDER; COMPATIBLE; REFERENCE; ORDER
; GENERATE; RANDOM; NUMBER; CALCULATE; WEIGHT; VALUE; DIFFER; VALUE

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/5 (Item 3 from file: 350)

DIALOG(R) File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

016340514 **Image available**

WPI Acc No: 2004-498411/200447

Related WPI Acc No: 2001-596784; 2002-416728; 2003-813180

XRPX Acc No: N04-393662

Electronic trading system for trading and displaying market

information of stocks, displays several price levels, lowest offer and highest bid price levels and placement of orders in different regions of system

Patent Assignee: BORSAND S F (BORS-I); BRUMFIELD H (BRUM-I); TRIPLETT M W (TRIP-I); TRADING TECHNOLOGIES INT INC (TRAD-N)

Inventor: BORSAND S F; BRUMFIELD H; TRIPLETT M W

Number of Countries: 108 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20040117292	A1	20040617	US 2000186322	P	20000302	200447 B
			US 2000589751	A	20000609	
			US 2000590692	A	20000609	
			US 2000238001	P	20001006	
			US 2001971087	A	20011005	
			US 2002125894	A	20020419	
			US 2003376417	A	20030228	
WO 200479520	A2	20040916	WO 2004US5911	A	20040227	200461

Priority Applications (No Type Date): US 2003376417 A 20030228; US 2000186322 P 20000302; US 2000589751 A 20000609; US 2000590692 A 20000609; US 2000238001 P 20001006; US 2001971087 A 20011005; US 2002125894 A 20020419

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20040117292	A1		29	G06F-017/60	Provisional application US 2000186322

CIP of application US 2000589751
CIP of application US 2000590692
Provisional application US 2000238001
CIP of application US 2001971087
CIP of application US 2002125894

WO 200479520 A2 E G06F-000/00

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

Designated States (Regional): AT BE BG BW CH CY CZ DE DK EA EE ES FI FR GB GH GM GR HU IE IT KE LS LU MC MW MZ NL OA PT RO SD SE SI SK SL SZ TR TZ UG ZM ZW

Abstract (Basic): US 20040117292 A1

NOVELTY - A working order region displays several price levels arranged equidistantly along a static price axis. A bid display region displays indicators for highlighting lowest offer **price** levels and **highest bid price** levels. A offering quantity region **displays** initiating placement of an order to **buy** or to **sell** tradable object through an action user input device.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for market information **trading** and **displaying** method.

USE - For **trading** and **displaying** market information of tradable object of financial products such as stocks, options, bonds, currency, futures, warrants, funds and commodities such as grains and metals on client device such as personal computer, laptop computer and handheld computer in electronic trading.

ADVANTAGE - The working order, bid display, offering quantity regions are displayed accurately.

DESCRIPTION OF DRAWING(S) - The figure shows the network connection between exchange and client device.

pp; 29 DwgNo 1/18

Title Terms: ELECTRONIC; TRADE; SYSTEM; TRADE; DISPLAY; MARKET; INFORMATION
; STOCK; DISPLAY; PRICE; LEVEL; LOW; OFFER; HIGH; BID; PRICE; LEVEL;
PLACE; ORDER; REGION; SYSTEM
Derwent Class: T01
International Patent Class (Main): G06F-000/00; G06F-017/60
File Segment: EPI

10/5/6 (Item 4 from file: 350)

DIALOG(R)File 350:Derwent WPIX
(c) 2005 Thomson Derwent. All rts. reserv.

015185021 **Image available**
WPI Acc No: 2003-245553/200324

Stova stock

Patent Assignee: LIM C Y (LIMC-I)
Inventor: LIM C Y
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2002007757	A	20020129	KR 200041183	A	20000718	200324 B

Priority Applications (No Type Date): KR 200041183 A 20000718

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2002007757	A	1	G06F-017/60	

Abstract (Basic): KR 2002007757 A

NOVELTY - A STOVA stock is provided to decide a reasonable value and a fair evaluation with respect to a rent and a premium being paid in a process of a rental and a transfer of a store based on objective data and ground.

DETAILED DESCRIPTION - A user joins to a membership as a member and agrees to a stipulation and receives an approval and an ID for a **transaction** of a STOVA stock. **Transaction** contents are **displayed** on a web by paying cyber money and exchanging STOVA money. A possessed property is notified and stocks are sold and bought and a value of each store is evaluated on a web. A fund for preparing a unification of North and South Korea is collected by accumulating STOVA stock money. Market conditions and STOVA stock information are displayed. The **highest limit price** items and the lowest limit price items are displayed.

pp; 1 DwgNo 1/10

Title Terms: STOCK
Derwent Class: T01
International Patent Class (Main): G06F-017/60
File Segment: EPI

10/5/7 (Item 5 from file: 350)

DIALOG(R)File 350:Derwent WPIX
(c) 2005 Thomson Derwent. All rts. reserv.

014833359 **Image available**
WPI Acc No: 2002-654065/200270

Automatic transaction method of stocks using internet

Patent Assignee: PARK C H (PARK-I)
Inventor: PARK C H
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
-----------	------	------	-------------	------	------	------

KR 2002032263 A 20020503 KR 200063644 A 20001023 200270 B

Priority Applications (No Type Date): KR 200063644 A 20001023

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
KR 2002032263 A 1 G06F-017/60

Abstract (Basic): KR 2002032263 A

NOVELTY - A method for the automatic transaction of stocks using the Internet is provided to prevent the loss of time and stress due to continuous monitoring of a stock market and to **output** the maximal gains by mechanical and automatic stock **selling** and **buying**.

DETAILED DESCRIPTION - The method comprises steps of buying stocks if the stock price is over a rate determined based on the lowest price by linking a real-time open database, selecting the existing lowest price if no rising occurs in the stock price or the rising rate is lower than the predetermined rate, deferring stock purchase while setting up the present price as the lowest price if the stock price falls lower than the lowest price, selecting the price of the stock higher than the **highest price** as a new **highest price**, and deferring selling while setting up a **highest price** if the present stock price is within a predetermined rate even if the present price is lower than the **highest price** or the same.

pp; 1 DwgNo 1/10

Title Terms: AUTOMATIC; TRANSACTION; METHOD; STOCK

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/8 (Item 6 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

014787415 **Image available**

WPI Acc No: 2002-608121/200265

XRPX Acc No: N02-481671

Structured financial product buying and selling method for computerized auction system, involves publishing product bid information to each client and displaying submitted bids together during offer for sale

Patent Assignee: VERTICALCROSSINGS.COM INC (VERT-N); DOWNES P F (DOWN-I); KAPLAN H A (KAPL-I)

Inventor: DOWNES P F; KAPLAN H A

Number of Countries: 099 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020095369	A1	20020718	US 2001261502	P	20010112	200265 B
			US 200243899	A	20020111	
WO 200256146	A2	20020718	WO 2002US684	A	20020111	200265
AU 2002239859	A1	20020724	AU 2002239859	A	20020111	200427

Priority Applications (No Type Date): US 2001261502 P 20010112; US 200243899 A 20020111

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 20020095369 A1 32 G06F-017/60 Provisional application US 2001261502

WO 200256146 A2 E G06F-000/00

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA
CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN

IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW
AU 2002239859 A1 G06F-017/60 Based on patent WO 200256146

Abstract (Basic): US 20020095369 A1

NOVELTY - Offer for the sale of a structured financial product is published anonymously over a computer network by a crust computer to several clients for a predetermined period. Bid information about the product is published automatically to each client in real time as each bid is submitted by one of the clients. All the submitted bids are displayed together during the offer for sale.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:

- (1) Anonymous auctioning system;
- (2) Computer readable media;
- (3) Computer system;
- (4) Method of realizing interest in the sale of structured financial product;
- (5) Client alerting method;
- (6) Client alerting system;
- (7) Structured financial product tracking method;
- (8) Structured financial product tracking system; and
- (9) Structured financial product valuating method.

USE - Structured financial product buying and selling method for computerized auction system using computer network.

ADVANTAGE - Increases market transparency and allows complete transparency of all transactions by publishing all bids and offers submitted to the auctions and trading functions of the institutions and eliminates inefficiency of the market. Automates and centralizes the system by which investors trade structured products within the fixed income market place, prevents the reduction of market liquidity, standardizes trading practices. Generates largest possible audience and allows them to meet in a common anonymous and transparent electronic market place to realize the **best prices** and eliminates dishonest and deceitful trading practice. Allows all investors and broker dealers participating on the auction host's web site to easily view and monitor the entire depth and breadth of the market clearly, securely and efficiently in real time with complete anonymity and market transparency to both buyer and seller. Provides superior reliability and price accuracy to users and eliminates problems of bid lists dragging on for lengthy periods of time past the scheduled execution time and facilitates timely and orderly transactions. Facilitates various billing, accounting and administrative functions and allows users to quickly gauge overall market strength by maturity, security sector and security type in seconds. Provides a most accurate structured product portfolio valuation pricing service by determining price based on the database of actual live transactions in an unbiased manner.

DESCRIPTION OF DRAWING(S) - The figure shows the process flow of the bid ladder system.

pp; 32 DwgNo 17B/18

Title Terms: STRUCTURE; FINANCIAL; PRODUCT; BUY; SELL; METHOD; COMPUTER; AUCTION; SYSTEM; PUBLICATION; PRODUCT; BID; INFORMATION; CLIENT; DISPLAY; SUBMIT; BID; OFFER; SALE

Derwent Class: T01; T05

International Patent Class (Main): G06F-000/00; G06F-017/60

File Segment: EPI

10/5/9 (Item 7 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

014413572 **Image available**

WPI Acc No: 2002-234275/200229

System for processing on-line transaction work through common investment of buyer and method thereof

Patent Assignee: BANG S M (BANG-I); CHOI S Y (CHOI-I)

Inventor: BANG S M; CHOI S Y

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2001098340	A	20011108	KR 200038497	A	20000706	200229 B

Priority Applications (No Type Date): US 2000574182 A 20000518; US 2000559295 A 20000425

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
KR 2001098340	A	1	G06F-017/60	

Abstract (Basic): KR 2001098340 A

NOVELTY - A system for processing on-line transaction work through common investment of buyer and a method thereof are provided to enable a buyer to buy a necessary product at **best price** by matching a buyer presenting buying data of a specific product with a seller presenting a minimum price.

DETAILED DESCRIPTION - A first input management unit records a plurality of interest including a quantity which a buyer wants in **buying** a specific product. An **output** management unit transmits the plurality of interest and related data for displaying to a seller computer through a network. A second input management unit records price data received from the seller who presents a proposal that the seller sells the specific product at a specified price.

pp; 1 DwgNo 1/10

Title Terms: SYSTEM; PROCESS; LINE; TRANSACTION; WORK; THROUGH; COMMON; INVESTMENT; BUY; METHOD

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/10 (Item 8 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

014283457 **Image available**

WPI Acc No: 2002-104158/200214

Method for managing electronic commercial transaction according to deal between sellers and buyers

Patent Assignee: KORRUS.COM CO LTD (KORR-N)

Inventor: KIM D S; KIM S H; LEE H Y

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
KR 2001078805	A	20010822	KR 200116605	A	20010329	200214 B

Priority Applications (No Type Date): KR 200116605 A 20010329

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
-----------	------	--------	----------	--------------

KR 2001078805 A 1 G06F-017/60

Abstract (Basic): KR 2001078805 A

NOVELTY - A method for managing an electronic commercial transaction is provided to connect a supplying company to a buying company in real time and the optimum condition for operating an electronic commercial transaction.

DETAILED DESCRIPTION - A seller inputs available areas and commodities, the **highest price**, and the lowest price in an electronic commercial transaction web site(S12). The inputted contents are stored in a seller database of the web site(S16). A buyer selects a commodity to be bought through a web site screen and inputs an area, an amount, the **highest price**, and the lowest price thereof(S15). The optimum seller is searched by linking the information of the buyer to the seller database of the web site. The prices are suggested from the **highest price** pre-inputted by the seller(S17). If the buyer inputs and transmits a price lower than the suggested price, a system compares the prices and **outputs** a message indicating a possibility of the commodity. **Buying** and **selling** prices are suggested as the number set by an operator. If the buyer selects a wanted price by bargaining to virtual models, a transaction is achieved.

pp; 1 DwgNo 1/10

Title Terms: METHOD; MANAGE; ELECTRONIC; COMMERCIAL; TRANSACTION; ACCORD; DEAL; BUY

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/11 (Item 9 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

014172830 **Image available**

WPI Acc No: 2001-657058/200175

Related WPI Acc No: 2003-688471

XRPX Acc No: N01-489772

Trading **simulator** displays buying and selling **trader metaphors in different segments and quote board for different markets**

Patent Assignee: PROJECT B LLC (PROJ-N)

Inventor: BORCHEW M; HAUKE E C

Number of Countries: 096 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200175731	A1	20011011	WO 2001US10267	A	20010330	200175 B
AU 200151143	A	20011015	AU 200151143	A	20010330	200209
EP 1285370	A1	20030226	EP 2001924494	A	20010330	200319
			WO 2001US10267	A	20010330	
JP 2003529851	W	20031007	JP 2001573335	A	20010330	200370
			WO 2001US10267	A	20010330	

Priority Applications (No Type Date): US 2000540601 A 20000331

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200175731 A1 E 38 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR

IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
 AU 200151143 A G06F-017/60 Based on patent WO 200175731
 EP 1285370 A1 E G06F-017/60 Based on patent WO 200175731
 Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT
 LI LT LU LV MC MK NL PT RO SE SI TR
 JP 2003529851 W 48 G06F-017/60 Based on patent WO 200175731

Abstract (Basic): WO 200175731 A1

NOVELTY - Buying trader is represented by human figure with a hand up facing in, seller with a hand up facing away, size indicating the trader with the **highest bid price** or lowest offer price and the figures being arranged in rows with different colors. Audio volume changes according to number of buying or selling traders and volume of trading.

DETAILED DESCRIPTION - Simulator comprises a data coder-decoder connected to a graphic **interface displaying buying and selling** trader metaphors and to a control **interface** initiating orders and to a data **interface displaying** the data.

There is an INDEPENDENT CLAIM for a method of trading using a trading simulator.

USE - Simulator is for real-time simulation of the trading action of traders in a financial market.

ADVANTAGE - Simulator enables traders from anywhere in the world to be linked to an exchange and represents them graphically as virtual pit participants.

DESCRIPTION OF DRAWING(S) - The figure shows a virtual trading floor system.

pp; 38 DwgNo 1/9

Title Terms: TRADE; SIMULATE; DISPLAY; BUY; SELL; SEGMENT; BOARD; MARKET

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/12 (Item 10 from file: 350)

DIALOG(R) File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

013974699 **Image available**

WPI Acc No: 2001-458912/200150

XRPX Acc No: N01-340235

Data processing system for computer implemented financial charting system, determines intra-market element processed for performing graphical display as a chart with bars

Patent Assignee: QIANKUNZHU CO LTD (QIAN-N); GOHEDGE ASSET MANAGEMENT LTD (GOHE-N); PROSTICKS.COM LTD (PROS-N)

Inventor: CHONG K F R; LI V C P

Number of Countries: 029 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
EP 1109122	A2	20010620	EP 2000310672	A	20001201	200150 B
CN 1308301	A	20010815	CN 2000133198	A	20001025	200174
KR 2001067403	A	20010712	KR 200077805	A	20001218	200202
TW 494329	A	20020711	TW 2000108177	A	20000427	200332 N

Priority Applications (No Type Date): US 99465336 A 19991217; TW 2000108177 A 20000427

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
EP 1109122	A2	E	31	G06F-017/60	

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT
LI LT LU LV MC MK NL PT RO SE SI TR
CN 1308301 A G06T-011/20
KR 2001067403 A G06F-019/00
TW 494329 A G06F-017/60

Abstract (Basic): EP 1109122 A2

NOVELTY - Data representing high and low prices traded by market during predetermined time interval is calculated by analyzing trading activity. Trading activity data is analyzed to determine intra-market element indicating price range during high and low trading activities or **price** interval during **highest trading** activity. Intra-market data element is processed for performing graphical **display** as chart with bars.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for method of analyzing price activities.

USE - Data processing system for computer implemented financial charting system for charting movements of financial market traded instruments, used by traders and analysts for predicting future price movements.

ADVANTAGE - Quantifies and overlays intra-market information on a chart and eliminates the need for observing and memorizing intra-market information by retrieving intra-market information instantly. Facilitates analysis of time series behavior as well as their relationships with usual OHLC. Enables to form new trading insights easily and to develop new technical analysis theories. Provides a new chart which resembles existing chart, so that traders who are used to wear the latter will not find new chart unfamiliar but rather more informative.

DESCRIPTION OF DRAWING(S) - The figure shows the appearance of bar in the graphical chart.

pp; 31 DwgNo 2A/19

Title Terms: DATA; PROCESS; SYSTEM; COMPUTER; IMPLEMENT; FINANCIAL; CHART; SYSTEM; DETERMINE; INTRA; MARKET; ELEMENT; PROCESS; PERFORMANCE; GRAPHICAL; DISPLAY; CHART; BAR

Derwent Class: T01

International Patent Class (Main): G06F-017/60 ; G06F-019/00; G06T-011/20

File Segment: EPI

10/5/13 (Item 11 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

013948200 **Image available**

WPI Acc No: 2001-432414/200146

XRPX Acc No: N01-320474

Montage for an automated market system for trading securities including receiving additional aggregate size quotes for security using a graphical user interface to display multiple price levels

Patent Assignee: NASDAQ STOCK MARKET INC (NASD-N)

Inventor: BERKELEY A R; KETCHUM R G; ZEIGLER A I

Number of Countries: 094 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200122315	A2	20010329	WO 2000US25658	A	20000919	200146 B
AU 200140208	A	20010424	AU 200140208	A	20000919	200147

Priority Applications (No Type Date): US 99401875 A 19990923

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200122315 A2 E 27 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA
CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT
RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200140208 A G06F-017/60 Based on patent WO 200122315

Abstract (Basic): WO 200122315 A2

NOVELTY - The GUI (70) has two regions, displaying aggregated bids and offers (72) and a region with lines (72b,72c) displaying information for the next two **best bids** and offers (74) regardless of price difference from an inside quote. The current quote montage (74) also includes information relating to the inside bid and ask price with the aggregated total and agency amounts at that price of market makers (MM1,MM2...).

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for a method of **trading** securities, for an electronic market, for a **GUI** and for a computer program product for operating the market.

USE - Order entry and display in electronic markets.

ADVANTAGE - Minimizing disclosure of identity of trading interests.

DESCRIPTION OF DRAWING(S) - The drawing shows an order entry GUI GUI (70)

Current quote montage (74)

pp; 27 DwgNo 4/7

Title Terms: MONTAGE; AUTOMATIC; MARKET; SYSTEM; TRADE; SECURE; RECEIVE;
ADD; AGGREGATE; SIZE; SECURE; GRAPHICAL; USER; INTERFACE; DISPLAY;
MULTIPLE; PRICE; LEVEL

Derwent Class: T01; T05

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/14 (Item 12 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

013948198 **Image available**

WPI Acc No: 2001-432412/200146

Related WPI Acc No: 2003-634721; 2003-661622

XRPX Acc No: N01-320472

Montage for an automated market system for financial trading which provides an aggregate montage to display best bid and offers and interest at multiple-level prices

Patent Assignee: NASDAQ STOCK MARKET INC (NASD-N)

Inventor: BROWN D; DIZENHAUS P; KETCHUM R G; MALITZIS J F; MARTYN P; PETER D S; SERKIN S

Number of Countries: 095 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200122313	A2	20010329	WO 2000US25620	A	20000919	200146 B
AU 200140207	A	20010424	AU 200140207	A	20000919	200147
EP 1282872	A2	20030212	EP 2000963607	A	20000919	200312
			WO 2000US25620	A	20000919	

Priority Applications (No Type Date): US 99401872 A 19990923

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200122313 A2 E 50 G06F-017/60

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA
CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT
RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR
IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200140207 A G06F-017/60 Based on patent WO 200122313

EP 1282872 A2 E G06F-017/60 Based on patent WO 200122313

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT
LI LT LU LV MC MK NL PT RO SE SI

Abstract (Basic): WO 200122313 A2

NOVELTY - A quote/order system collector facility (25) collects pre-trade information and entering quotes are limited at registered market makers (12c), electronic communication network (12b) and exchange (12d) and each quote (19) includes a quote size and an indication of whether the size is attributable or non-attributable.

DETAILED DESCRIPTION - The order quote collector facility (20) has an interface (21) coupled to plural order deliver systems to provide access to information contained in an order flow delivered to the collector facility and also routing execution of liability orders back to market participants when quotes/orders were executed. INDEPENDENT CLAIMS are included for an electronic market for trading of securities, for a method of operating an electronic market and for a computer program product.

USE - Automated trading in a financial system.

DESCRIPTION OF DRAWING(S) - The drawing is a block diagram of a market system

Order collector facility (25)

Market makers (12c)

Communications network (12b)

Interface (21)

Order quote collector facility (20)

pp; 50 DwgNo 1/9

Title Terms: MONTAGE; AUTOMATIC; MARKET; SYSTEM; FINANCIAL; TRADE;

AGGREGATE; MONTAGE; DISPLAY; BID; OFFER; INTEREST; MULTIPLE; LEVEL; PRICE

Derwent Class: T01; T05

International Patent Class (Main): 1G06F-017/60

File Segment: EPI

10/5/15 (Item 13 from file: 350)

DIALOG(R) File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

013733904 **Image available**

WPI Acc No: 2001-218134/200122

XRPX Acc No: N01-155521

Automated securities trading system for buying, selling or trading securities, uses internet as transport to enable user to interact directly with automated securities trading system

Patent Assignee: GLOBENET CAPITAL CORP (GLOB-N); MAGILL L (MAGI-I); SEMONES B (SEMO-I)

Inventor: MAGILL L; SEMONES B

Number of Countries: 094 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200102930	A2	20010111	WO 2000US18258	A	20000630	200122 B
AU 200059098	A	20010122	AU 200059098	A	20000630	200125
US 20040143542	A1	20040722	US 99141859	P	19990701	200449
			US 99155489	P	19990923	

US 2000609028 A 20000630
US 2004752419 A 20040105

Priority Applications (No Type Date): US 99155489 P 19990923; US 99141859 P 19990701; US 2000609028 A 20000630; US 2004752419 A 20040105

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200102930 A2 E 62 G06F-000/00

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW

AU 200059098 A G06F-000/00 Based on patent WO 200102930

US 20040143542 A1 G06F-017/60 Provisional application US 99141859

Provisional application US 99155489
Cont of application US 2000609028

Abstract (Basic): WO 200102930 A2

NOVELTY - A user interacts directly with the automated securities trading system using the internet as transport. A single electronic communications network is used for sending and receiving web pages including securities transaction order forms, for receiving orders, for matching securities buyers with securities sellers, and for executing orders.

USE - For buying, selling or trading securities e.g. stocks, options, futures, commodities.

ADVANTAGE - Provides improved securities trading system which includes interactive open order book capable of allowing subscribers via the internet to aggregate, manipulate, **display** and interact with **buy** and **sell** order data. Allows users to place match order which dynamically searches out **best bid** and offer, and determines decimalized mid point of **best bid** and offer. Allows users to place range order which allows user to specify minimum lot sizes of shares up to maximum number of shares user is willing to buy or sell. Incorporates trading system database which interacts with central data repository used for processing and storing trading and historical data. Can trade securities of given exchange inside and outside traditional trading hours.

DESCRIPTION OF DRAWING(S) - The figure is a block diagram showing the exemplary hardware environment of the automated securities trading system.

pp; 62 DwgNo 1/8

Title Terms: AUTOMATIC; SECURE; TRADE; SYSTEM; BUY; SELL; TRADE; SECURE; TRANSPORT; ENABLE; USER; INTERACT; AUTOMATIC; SECURE; TRADE; SYSTEM

Derwent Class: T01

International Patent Class (Main): G06F-000/00; **G06F-017/60**

File Segment: EPI

10/5/16 (Item 14 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

012337098 **Image available**

WPI Acc No: 1999-143205/199912

XRPX Acc No: N99-104024

System for listing and facilitating transactions involving stones categorised by weight and another characteristic - allows sellers to use

remote terminal to input price and data about stones for sale to central database and buyers to view and make bids for stones based on matrix showing lowest offer, highest bid prices and last sale price

Patent Assignee: BERGATO S (BERG-I); DIAMONDS NET LLC (DIAM-N)

Inventor: BERGATO S

Number of Countries: 028 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9905629	A1	19990204	WO 98US15338	A	19980723	199912 B
AU 9886622	A	19990216	AU 9886622	A	19980723	199926
US 5950178	A	19990907	US 97902524	A	19970728	199943
EP 1008085	A1	20000614	EP 98938001	A	19980723	200033
			WO 98US15338	A	19980723	
IL 134254	A	20031123	IL 134254	A	19980723	200382

Priority Applications (No Type Date): US 97902524 A 19970728

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
-----------	------	-----	----	----------	--------------

WO 9905629	A1	57	G06F-017/60		
------------	----	----	-------------	--	--

Designated States (National): AU BR CA CN IL JP MX RU

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

AU 9886622	A		G06F-017/60	Based on patent WO 9905629
------------	---	--	-------------	----------------------------

US 5950178	A		G06F-017/60	
------------	---	--	-------------	--

EP 1008085	A1 E		G06F-017/60	Based on patent WO 9905629
------------	------	--	-------------	----------------------------

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

IL 134254	A		G06F-017/30	Based on patent WO 9905629
-----------	---	--	-------------	----------------------------

Abstract (Basic): WO 9905629 A

NOVELTY - The system has host processor (12) and remote terminals (26) for facilitating transactions in precious stones. It allows sellers to use remote terminal to input price and data about stones for sale to central database, buyers view and make bides for stones based on matrix showing lowest offer and **highest bid prices** and last sale price for each category in matrix, when bid and offer match confirmation is issued to confirm sale. Third party receives sold stone and payment and distributes to receiving parties.

USE - For facilitating transactions for precious stones, such as diamonds.

ADVANTAGE - Provides data processing system and method for listing on a world wide basis actual offers to sell precious stones such as diamonds which takes into account the various factors effecting price, permitting buyers and sellers to adjust offers and bids to eventually enter into a sales **transaction**. DESCRIPTION OF DRAWING(S) - The drawing shows an illustration and overall **view** of the system and method. (12) host processor; (26) remote terminals.

Dwg.1/10

Title Terms: SYSTEM; LIST; FACILITATE; TRANSACTION; STONE; WEIGHT;

CHARACTERISTIC; ALLOW; REMOTE; TERMINAL; INPUT; PRICE; DATA; STONE; SALE; CENTRAL; DATABASE; BUY; VIEW; BID; STONE; BASED; MATRIX; LOW; OFFER; HIGH ; BID; PRICE; LAST; SALE; PRICE

Derwent Class: T01

International Patent Class (Main): G06F-017/30; G06F-017/60

File Segment: EPI

10/5/17 (Item 15 from file: 350)

DIALOG(R) File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

011023852 **Image available**

WPI Acc No: 1997-001776/199701

XRPX Acc No: N97-001549

Progressive auction apparatus for sale of lots or part lots from catalogue - has multiple purchasing stations linked to central controller to display progress of auction to purchasers

Patent Assignee: LE BRIS D (LBRI-I)

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
FR 2733847	A1	19961108	FR 955495	A	19950503	199701 B

Priority Applications (No Type Date): FR 955495 A 19950503

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
FR 2733847	A1	32	G06F-017/60	

Abstract (Basic): FR 2733847 A

The progressive auction system has multiple purchasing stations (3) linked to a central auction handling station (1). The auctioneers station (2) is connected to the central station.

Each of the sales stations has facility to record and display the auction of a particular set of lots forming a page in the sales catalogue. The central station ensures determination of the sales station where the **highest bid** was made. The auctioneers station allows placing of starting bids, and validation of the bids received for each of the lots. The starting price and the progress of the price can be **displayed** at each **purchasing** station.

ADVANTAGE - Allows handling of greater number of lots within given time with clearer indication of prices to buyers.

Dwg.1/11

Title Terms: PROGRESS; AUCTION; APPARATUS; SALE; LOTS; PART; LOTS; CATALOGUE; MULTIPLE; PURCHASE; STATION; LINK; CENTRAL; CONTROL; DISPLAY; PROGRESS; AUCTION

Index Terms/Additional Words: FARM; PRODUCE

Derwent Class: T01

International Patent Class (Main): G06F-017/60

File Segment: EPI

10/5/18 (Item 16 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2005 Thomson Derwent. All rts. reserv.

010443435 **Image available**

WPI Acc No: 1995-344754/199544

XRPX Acc No: N95-257638

Computerised stock exchange trading system - monitors and displays list of stocks and records prices on spread-sheet which generates share order when composite price of list of stocks conforms to predetermined parameters

Patent Assignee: BELZBERG S H (BELZ-I); BELZBERG FINANCIAL MARKETS & NEWS INT IN (BELZ-N)

Inventor: BELZBERG S H

Number of Countries: 063 Number of Patents: 015

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9526005	A1	19950928	WO 95CA123	A	19950303	199544 B
AU 9517520	A	19951009	AU 9517520	A	19950303	199603
CA 2119921	A	19950924	CA 2119921	A	19940323	199604

NO 9603951	A	19961121	WO 95CA123	A	19950303	199705
			NO 963951	A	19960920	
EP 752135	A1	19970108	EP 95910376	A	19950303	199707
			WO 95CA123	A	19950303	
BR 9507141	A	19970930	BR 957141	A	19950303	199748
			WO 95CA123	A	19950303	
HU 74896	T	19970228	WO 95CA123	A	19950303	199748
			HU 962581	A	19950303	
JP 10500788	W	19980120	JP 95524261	A	19950303	199813
			WO 95CA123	A	19950303	
KR 97701889	A	19970412	WO 95CA123	A	19950303	199817
			KR 96705253	A	19960923	
EP 752135	B1	19990203	EP 95910376	A	19950303	199910
			WO 95CA123	A	19950303	
AU 9894196	A	19990204	AU 9517520	A	19950303	199917
			AU 9894196	A	19981127	
DE 69507693	E	19990318	DE 95607693	A	19950303	199917
			EP 95910376	A	19950303	
			WO 95CA123	A	19950303	
US 6134535	A	20001017	WO 95CA123	A	19950303	200054
			US 96716389	A	19960919	
CN 1147308	A	19970409	CN 95192804	A	19950303	200108
MX 96004198	A1	20040901	MX 964198	A	19930628	200553
			WO 95CA123	A	19950303	

Priority Applications (No Type Date): CA 2119921 A 19940323

Cited Patents: EP 401203; EP 453150; WO 9114231

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
-----------	------	-----	----	----------	--------------

WO 9526005	A1	E	28	G06F-017/60	
------------	----	---	----	-------------	--

Designated States (National): AM AT AU BB BG BR BY CA CH CN CZ DE DK EE
ES FI GB GE HU JP KE KG KP KR KZ LK LR LT LU LV MD MG MN MW MX NL NO NZ
PL PT RO RU SD SE SG SI SK TJ TT UA UG US UZ VN

Designated States (Regional): AT BE CH DE DK ES FR GB GR IE IT KE LU MC
MW NL OA PT SD SE SZ UG

AU 9517520	A			G06F-017/60	Based on patent WO 9526005
------------	---	--	--	-------------	----------------------------

CA 2119921	A			G06F-015/20	
------------	---	--	--	-------------	--

NO 9603951	A			G06F-017/60	
------------	---	--	--	-------------	--

EP 752135	A1	E	28	G06F-017/60	Based on patent WO 9526005
-----------	----	---	----	-------------	----------------------------

Designated States (Regional): AT BE CH DE DK ES FR GB GR IT LI LU MC NL
SE

BR 9507141	A			G06F-017/60	Based on patent WO 9526005
------------	---	--	--	-------------	----------------------------

HU 74896	T			G06F-017/60	Based on patent WO 9526005
----------	---	--	--	-------------	----------------------------

JP 10500788	W	19		G06F-017/60	Based on patent WO 9526005
-------------	---	----	--	-------------	----------------------------

KR 97701889	A			G06F-017/60	Based on patent WO 9526005
-------------	---	--	--	-------------	----------------------------

EP 752135	B1	E		G06F-017/60	Based on patent WO 9526005
-----------	----	---	--	-------------	----------------------------

Designated States (Regional): AT BE CH DE DK ES FR GB GR IT LI LU MC NL
SE

AU 9894196	A			G06F-017/60	Div ex application AU 9517520
------------	---	--	--	-------------	-------------------------------

DE 69507693	E			G06F-017/60	Based on patent EP 752135
-------------	---	--	--	-------------	---------------------------

Based on patent WO 9526005

US 6134535	A			G06F-017/60	Based on patent WO 9526005
------------	---	--	--	-------------	----------------------------

CN 1147308	A			G06F-017/60	
------------	---	--	--	-------------	--

MX 96004198	A1			G06F-017/60	Based on patent WO 9526005
-------------	----	--	--	-------------	----------------------------

Abstract (Basic): WO 9526005 A

The computerised stock exchange **trading** system includes a graphic user **interface** with a mouse and **display** which is used to select parameters e.g. share symbol, price selection, order size and **transaction** type together with other indicators to launch a trading order to the data entry system of a stock exchange computer.

The system also includes a programmed interface by which data on a group of shares may be read from a spreadsheet formulated into an order and launched automatically or in response to a signal from an operator so as to trade an index or basket of shares instantaneously.

USE/ADVANTAGE - Purchase and sale of stock and shares traded on stock exchange instantaneously. Enables multiple share order entries to be executed automatically within seconds by trader/operator by activating few entries on keyboard.

Dwg.4/4

Title Terms: COMPUTER; STOCK; EXCHANGE; TRADE; SYSTEM; MONITOR; DISPLAY;
LIST; STOCK; RECORD; PRICE; GENERATE; SHARE; ORDER; COMPOSITE; PRICE;
LIST; STOCK; CONFORM; PREDETERMINED; PARAMETER

Derwent Class: T01

International Patent Class (Main): G06F-015/20; **G06F-017/60**

File Segment: EPI

?